

Helical polynomial curves and “double” Pythagorean-hodograph curves

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— synopsis —

- **introduction**: properties of Pythagorean-hodograph curves
- computing **rotation-minimizing frames** on spatial PH curves
- **helical polynomial space curves** — are always PH curves
- standard **quaternion representation** for spatial PH curves
- **“double” Pythagorean hodograph structure** — requires both $|\mathbf{r}'(t)|$ and $|\mathbf{r}'(t) \times \mathbf{r}''(t)|$ to be polynomials in t
- **Hermite interpolation problem**: selection of free parameters

Pythagorean-hodograph (PH) curves

$\mathbf{r}(\xi)$ = PH curve \iff coordinate components of $\mathbf{r}'(\xi)$
comprise a “Pythagorean n -tuple of polynomials” in \mathbb{R}^n

PH curves incorporate **special algebraic structures** in their hodographs
(**complex number** & **quaternion** models for planar & spatial PH curves)

- rational offset curves $\mathbf{r}_d(\xi) = \mathbf{r}(\xi) + d \mathbf{n}(\xi)$
- polynomial arc-length function $s(\xi) = \int_0^\xi |\mathbf{r}'(\xi)| d\xi$
- closed-form evaluation of energy integral $E = \int_0^1 \kappa^2 ds$
- real-time CNC interpolators, rotation-minimizing frames, etc.

helical polynomial space curves

several equivalent characterizations of helical curves

- tangent \mathbf{t} maintains **constant inclination** ψ with fixed vector \mathbf{a}
- $\mathbf{a} \cdot \mathbf{t} = \cos \psi$, where $\psi =$ **pitch angle** and $\mathbf{a} =$ **axis vector** of helix
- fixed **curvature/torsion ratio**, $\kappa/\tau = \tan \psi$ (Theorem of Lancret)
- curve has a **circular tangent indicatrix** on the unit sphere
(small circle for space curve, great circle for planar curve)
- $(\mathbf{r}^{(2)} \times \mathbf{r}^{(3)}) \cdot \mathbf{r}^{(4)} \equiv 0$ — where $\mathbf{r}^{(k)} = k^{\text{th}}$ arc-length derivative
- **circular helix** (κ & τ *individually* constant) is transcendental curve

all helical polynomial space curves are PH curves

$$\text{constant inclination} \Rightarrow \mathbf{a} \cdot \mathbf{r}'(t) \equiv \cos \psi |\mathbf{r}'(t)|$$

$$\mathbf{a} \cdot \mathbf{r}'(t) = \text{polynomial in } t \text{ for any polynomial curve } \mathbf{r}(t)$$

$$\cos \psi |\mathbf{r}'(t)| = \text{polynomial in } t \text{ only if } \mathbf{r}(t) \text{ is a PH curve}$$

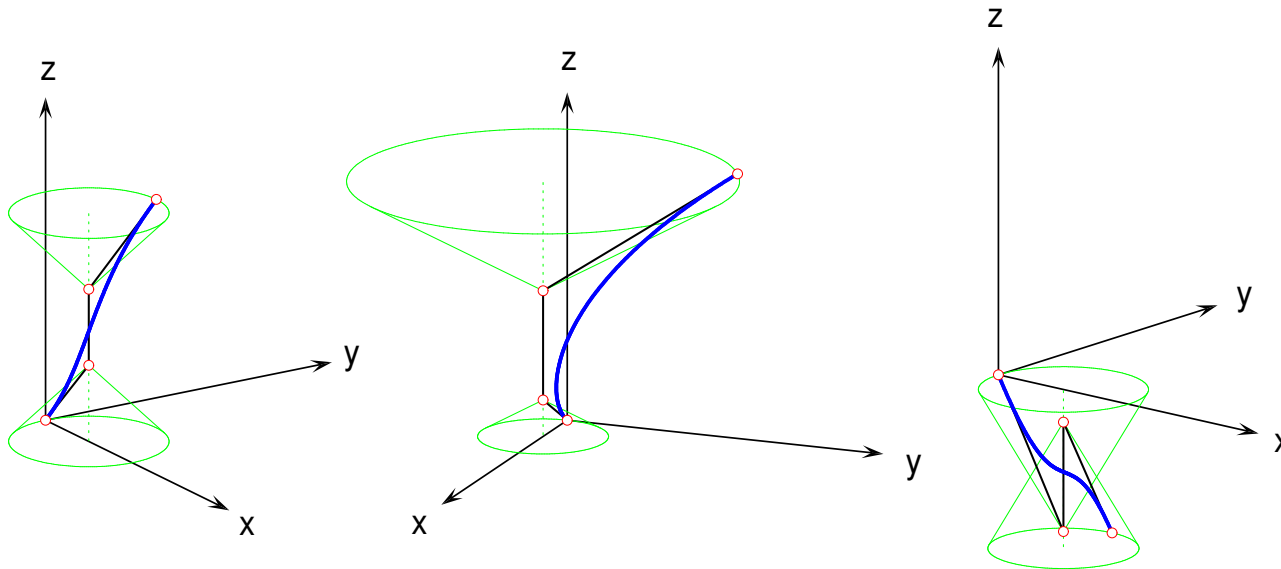
all **spatial PH cubics**, but not all **spatial PH quintics**, are helical

problem : distinguish between helical & non-helical spatial PH curves

characterization of spatial PH cubics

A cubic with Bézier control–polygon legs $\mathbf{L}_0, \mathbf{L}_1, \mathbf{L}_2$ has a Pythagorean hodograph if and only if \mathbf{L}_0 and \mathbf{L}_2 lie on a right–circular cone of some half–angle ϑ about \mathbf{L}_1 as axis, and their azimuthal separation φ on this cone is given in terms of the lengths L_0, L_1, L_2 by $\cos \varphi = 1 - 2L_1^2/L_0L_2$

(generalizes constraints for Tschirnhaus cubic in planar case)



can specify **pitch angle** and **helix axis** in terms of $L_0, L_1, L_2, \vartheta, \varphi$

Pythagorean quartuples of polynomials

$$x'^2(t) + y'^2(t) + z'^2(t) = \sigma^2(t) \iff \begin{cases} x'(t) = u^2(t) + v^2(t) - p^2(t) - q^2(t) \\ y'(t) = 2[u(t)q(t) + v(t)p(t)] \\ z'(t) = 2[v(t)q(t) - u(t)p(t)] \\ \sigma(t) = u^2(t) + v^2(t) + p^2(t) + q^2(t) \end{cases}$$

R. Dietz, J. Hoschek, and B. Jüttler, An algebraic approach to curves and surfaces on the sphere and on other quadrics, *Computer Aided Geometric Design* **10**, 211–229 (1993)

H. I. Choi, D. S. Lee, and H. P. Moon, Clifford algebra, spin representation, and rational parameterization of curves and surfaces, *Advances in Computational Mathematics* **17**, 5-48 (2002)

choose quaternion polynomial $\mathcal{A}(t) = u(t) + v(t) \mathbf{i} + p(t) \mathbf{j} + q(t) \mathbf{k}$

→ **spatial Pythagorean hodograph** $\mathbf{r}'(t) = (x'(t), y'(t), z'(t)) = \mathcal{A}(t) \mathbf{i} \mathcal{A}^*(t)$

fundamentals of quaternion algebra

quaternions are **four-dimensional numbers** of the form

$$\mathcal{A} = a + a_x \mathbf{i} + a_y \mathbf{j} + a_z \mathbf{k} \quad \text{and} \quad \mathcal{B} = b + b_x \mathbf{i} + b_y \mathbf{j} + b_z \mathbf{k}$$

that obey the **sum** and (non-commutative) **product** rules

$$\mathcal{A} + \mathcal{B} = (a + b) + (a_x + b_x) \mathbf{i} + (a_y + b_y) \mathbf{j} + (a_z + b_z) \mathbf{k}$$

$$\begin{aligned} \mathcal{A}\mathcal{B} &= (ab - a_x b_x - a_y b_y - a_z b_z) \\ &+ (ab_x + ba_x + a_y b_z - a_z b_y) \mathbf{i} \\ &+ (ab_y + ba_y + a_z b_x - a_x b_z) \mathbf{j} \\ &+ (ab_z + ba_z + a_x b_y - a_y b_x) \mathbf{k} \end{aligned}$$

basis elements $1, \mathbf{i}, \mathbf{j}, \mathbf{k}$ satisfy $\mathbf{i}^2 = \mathbf{j}^2 = \mathbf{k}^2 = \mathbf{ijk} = -1$

equivalently, $\mathbf{ij} = -\mathbf{ji} = \mathbf{k}$, $\mathbf{jk} = -\mathbf{kj} = \mathbf{i}$, $\mathbf{ki} = -\mathbf{ik} = \mathbf{j}$

scalar-vector form of quaternions

set $\mathcal{A} = (a, \mathbf{a})$ and $\mathcal{B} = (b, \mathbf{b})$ — a, b and \mathbf{a}, \mathbf{b} are **scalar** and **vector** parts
(a, b and \mathbf{a}, \mathbf{b} also called the **real** and **imaginary** parts of \mathcal{A}, \mathcal{B})

$$\mathcal{A} + \mathcal{B} = (a + b, \mathbf{a} + \mathbf{b})$$

$$\mathcal{A}\mathcal{B} = (ab - \mathbf{a} \cdot \mathbf{b}, a\mathbf{b} + b\mathbf{a} + \mathbf{a} \times \mathbf{b})$$

(**historical note**: Hamilton's quaternions preceded, but were eventually supplanted by, the 3-dimensional vector analysis of Gibbs and Heaviside)

$\mathcal{A}^* = (a, -\mathbf{a})$ is the **conjugate** of \mathcal{A}

modulus: $|\mathcal{A}|^2 = \mathcal{A}^*\mathcal{A} = \mathcal{A}\mathcal{A}^* = a^2 + |\mathbf{a}|^2$

note that $|\mathcal{A}\mathcal{B}| = |\mathcal{A}||\mathcal{B}|$ and $(\mathcal{A}\mathcal{B})^* = \mathcal{B}^*\mathcal{A}^*$

unit quaternions & spatial rotations

any **unit quaternion** has the form $\mathcal{U} = (\cos \frac{1}{2}\theta, \sin \frac{1}{2}\theta \mathbf{n})$

describes a **spatial rotation** by angle θ about unit vector \mathbf{n}

for any vector \mathbf{v} the quaternion product

$$\mathbf{v}' = \mathcal{U} \mathbf{v} \mathcal{U}^*$$

yields the vector \mathbf{v}' corresponding to a **rotation of \mathbf{v} by θ about \mathbf{n}**

here \mathbf{v} is short-hand for a “pure vector” quaternion $\mathcal{V} = (0, \mathbf{v})$

unit quaternions \mathcal{U} form a **(non-commutative) group** under multiplication

concatenation of spatial rotations

rotate θ_1 about \mathbf{n}_1 then θ_2 about \mathbf{n}_2 \rightarrow equivalent rotation θ about \mathbf{n}

$$\theta = \pm 2 \cos^{-1}(\cos \frac{1}{2}\theta_1 \cos \frac{1}{2}\theta_2 - \mathbf{n}_1 \cdot \mathbf{n}_2 \sin \frac{1}{2}\theta_1 \sin \frac{1}{2}\theta_2)$$

$$\mathbf{n} = \pm \frac{\sin \frac{1}{2}\theta_1 \cos \frac{1}{2}\theta_2 \mathbf{n}_1 + \cos \frac{1}{2}\theta_1 \sin \frac{1}{2}\theta_2 \mathbf{n}_2 - \sin \frac{1}{2}\theta_1 \sin \frac{1}{2}\theta_2 \mathbf{n}_1 \times \mathbf{n}_2}{\sqrt{1 - (\cos \frac{1}{2}\theta_1 \cos \frac{1}{2}\theta_2 - \mathbf{n}_1 \cdot \mathbf{n}_2 \sin \frac{1}{2}\theta_1 \sin \frac{1}{2}\theta_2)^2}}$$

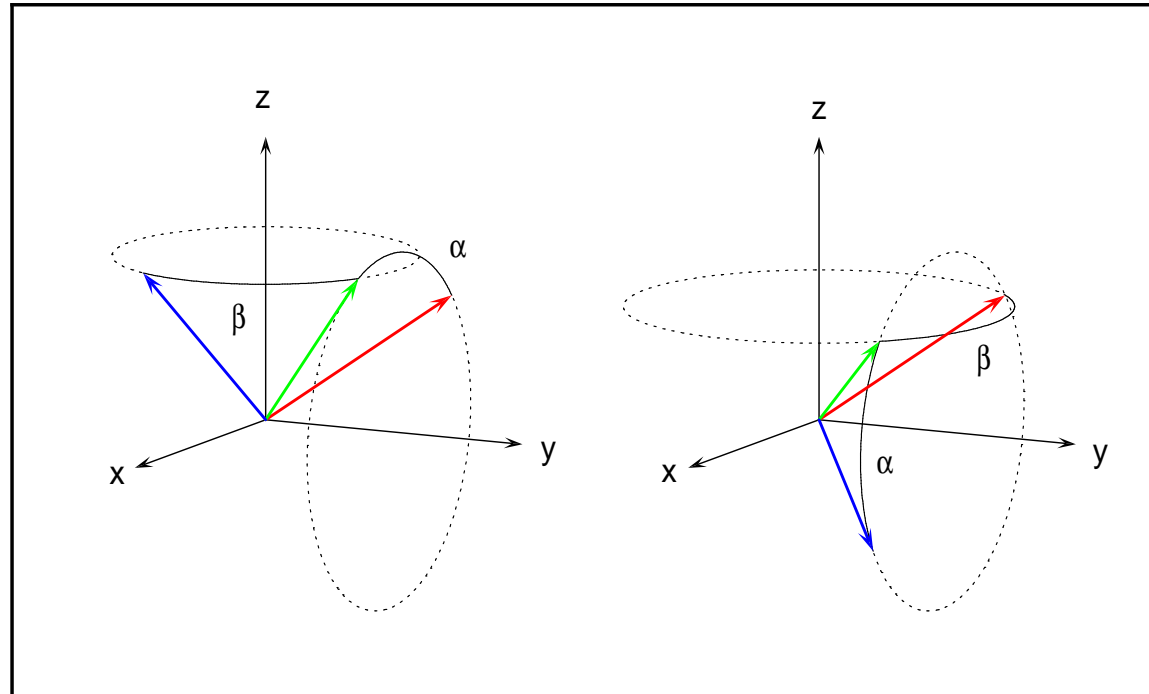
sign ambiguity: **equivalence** of $-\theta$ about $-\mathbf{n}$ and θ about \mathbf{n}

formulae discovered by [Olinde Rodrigues \(1794-1851\)](#)

set $\mathcal{U}_1 = (\cos \frac{1}{2}\theta_1, \sin \frac{1}{2}\theta_1 \mathbf{n}_1)$ and $\mathcal{U}_2 = (\cos \frac{1}{2}\theta_2, \sin \frac{1}{2}\theta_2 \mathbf{n}_2)$

$\mathcal{U} = \mathcal{U}_2 \mathcal{U}_1 = (\cos \frac{1}{2}\theta, \sin \frac{1}{2}\theta \mathbf{n})$ defines angle, axis of **compound rotation**

spatial rotations do not commute



blue vector is obtained from **red vector** by the concatenation of two spatial rotations — left: $R_y(\alpha) R_z(\beta)$, right: $R_z(\beta) R_y(\alpha)$ — the end results differ

define $\mathcal{U}_1 = (\cos \frac{1}{2}\alpha, \sin \frac{1}{2}\alpha \mathbf{j})$, $\mathcal{U}_2 = (\cos \frac{1}{2}\beta, \sin \frac{1}{2}\beta \mathbf{k})$ — $\mathcal{U}_1 \mathcal{U}_2 \neq \mathcal{U}_2 \mathcal{U}_1$

quaternion model for spatial PH curves

quaternion polynomial $\mathcal{A}(t) = u(t) + v(t) \mathbf{i} + p(t) \mathbf{j} + q(t) \mathbf{k}$

maps to $\mathbf{r}'(t) = \mathcal{A}(t) \mathbf{i} \mathcal{A}^*(t) = [u^2(t) + v^2(t) - p^2(t) - q^2(t)] \mathbf{i}$
 $+ 2[u(t)q(t) + v(t)p(t)] \mathbf{j} + 2[v(t)q(t) - u(t)p(t)] \mathbf{k}$

rotation invariance of spatial PH form: rotate by θ about $\mathbf{n} = (n_x, n_y, n_z)$

define $\mathcal{U} = (\cos \frac{1}{2}\theta, \sin \frac{1}{2}\theta \mathbf{n})$ — then $\mathbf{r}'(t) \rightarrow \tilde{\mathbf{r}}'(t) = \tilde{\mathcal{A}}(t) \mathbf{i} \tilde{\mathcal{A}}^*(t)$

where $\tilde{\mathcal{A}}(t) = \mathcal{U} \mathcal{A}(t)$ (can interpret as **rotation in \mathbb{R}^4**)

matrix form of $\tilde{A}(t) = \mathcal{U} A(t)$

$$\begin{bmatrix} \tilde{u} \\ \tilde{v} \\ \tilde{p} \\ \tilde{q} \end{bmatrix} = \begin{bmatrix} \cos \frac{1}{2}\theta & -n_x \sin \frac{1}{2}\theta & -n_y \sin \frac{1}{2}\theta & -n_z \sin \frac{1}{2}\theta \\ n_x \sin \frac{1}{2}\theta & \cos \frac{1}{2}\theta & -n_z \sin \frac{1}{2}\theta & n_y \sin \frac{1}{2}\theta \\ n_y \sin \frac{1}{2}\theta & n_z \sin \frac{1}{2}\theta & \cos \frac{1}{2}\theta & -n_x \sin \frac{1}{2}\theta \\ n_z \sin \frac{1}{2}\theta & -n_y \sin \frac{1}{2}\theta & n_x \sin \frac{1}{2}\theta & \cos \frac{1}{2}\theta \end{bmatrix} \begin{bmatrix} u \\ v \\ p \\ q \end{bmatrix}$$

matrix $\in \text{SO}(4)$

in general, points have **non-closed orbits** under rotations in \mathbb{R}^4

degenerate forms of spatial PH curves

Lemma 1. *For any quaternion $\mathcal{A} \neq 0$, the quaternions $\mathcal{A}, \mathcal{A}\mathbf{i}, \mathcal{A}\mathbf{j}, \mathcal{A}\mathbf{k}$ — interpreted as vectors in \mathbb{R}^4 — define an orthogonal basis, in terms of which any quaternion can be represented by four real values $\alpha, \beta, \gamma, \delta$ as the linear combination*

$$\alpha \mathcal{A} + \beta \mathcal{A}\mathbf{i} + \gamma \mathcal{A}\mathbf{j} + \delta \mathcal{A}\mathbf{k} = \mathcal{A}(\alpha + \beta \mathbf{i} + \gamma \mathbf{j} + \delta \mathbf{k}).$$

if $\mathcal{A} = u + v\mathbf{i} + p\mathbf{j} + q\mathbf{k}$, components of $\mathcal{A}, \mathcal{A}\mathbf{i}, \mathcal{A}\mathbf{j}, \mathcal{A}\mathbf{k}$ define columns of an **orthogonal 4×4 matrix**

$$\begin{bmatrix} u & -v & -p & -q \\ v & u & -q & p \\ p & q & u & -v \\ q & -p & v & u \end{bmatrix}$$

if $|\mathcal{A}| = 1$, specifies a rotation $(1, \mathbf{i}, \mathbf{j}, \mathbf{k}) \rightarrow (\mathcal{A}, \mathcal{A}\mathbf{i}, \mathcal{A}\mathbf{j}, \mathcal{A}\mathbf{k})$ in \mathbb{R}^4

degenerate spatial PH cubics

spatial PH cubics : $\mathbf{r}'(t) = \mathcal{A}(t) \mathbf{i} \mathcal{A}^*(t)$ with $\mathcal{A}(t) = \mathcal{A}_0(1 - t) + \mathcal{A}_1 t$

writing $\mathcal{A}_1 = \mathcal{A}_0 (\alpha_1 + \beta_1 \mathbf{i} + \gamma_1 \mathbf{j} + \delta_1 \mathbf{k})$ we have:

$$\mathbf{r}(t) = \text{straight line} \iff (\gamma_1, \delta_1) = (0, 0)$$

$$\mathbf{r}(t) = \text{plane curve} \iff \beta_1 = 0 \text{ and } (\gamma_1, \delta_1) \neq (0, 0)$$

NOTE: all spatial PH cubics are **helical curves**

degenerate spatial PH quintics

spatial PH quintics : use $\mathcal{A}(t) = \mathcal{A}_0(1-t)^2 + \mathcal{A}_1 2(1-t)t + \mathcal{A}_2 t^2$

writing $\mathcal{A}_r = \mathcal{A}_0 (\alpha_r + \beta_r \mathbf{i} + \gamma_r \mathbf{j} + \delta_r \mathbf{k})$ for $r = 1, 2$ we have:

$$\mathbf{r}(t) = \text{straight line} \iff (\gamma_1, \delta_1) = (\gamma_2, \delta_2) = (0, 0)$$

$$\mathbf{r}(t) = \text{plane curve} \iff \beta_1 = \beta_2 = 0 \text{ and } \gamma_1 \delta_2 - \gamma_2 \delta_1 = 0$$

with $(\gamma_1, \delta_1) \neq (0, 0)$ and $(\gamma_2, \delta_2) \neq (0, 0)$

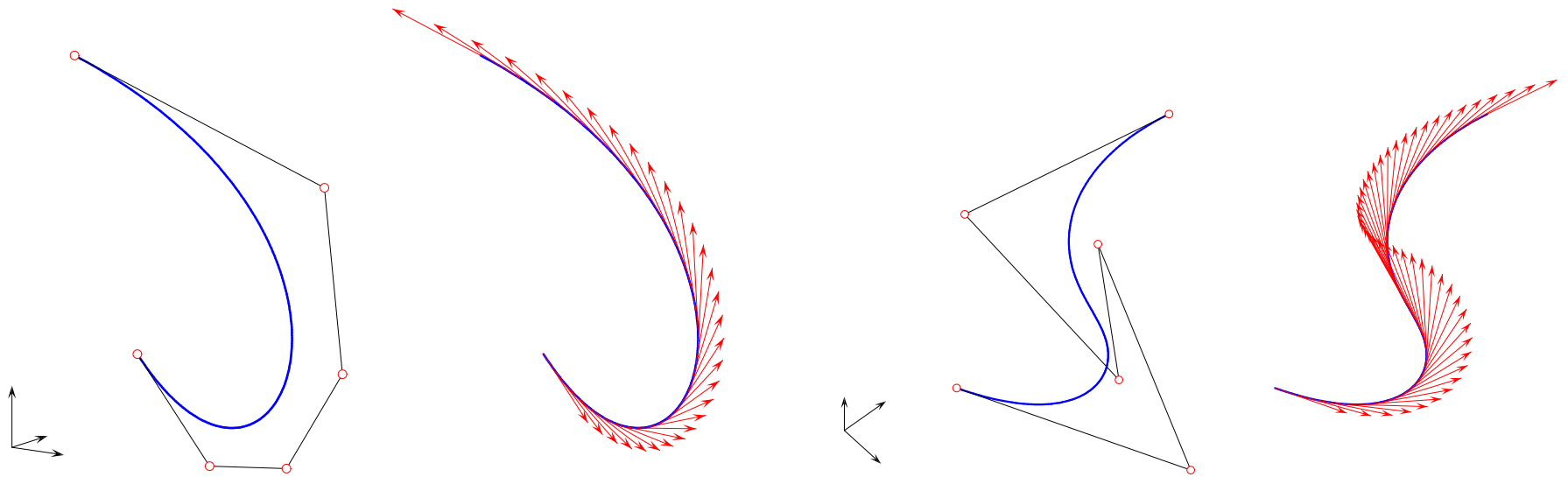
conditions for plane curve equivalent to **linear dependence** of $\mathcal{A}_0, \mathcal{A}_1, \mathcal{A}_2$

morphology of helical PH quintics

$$\gcd(u, v, p, q) = \text{constant} \not\Rightarrow \gcd(x', y', z') = \text{constant}$$

$$\text{specifically, } \gcd(x', y', z') = \gcd(u + i v, p - i q) \cdot \gcd(u - i v, p + i q)$$

- **monotone-helical PH quintics** — $\gcd(x', y', z')$ is quadratic
tangent indicatrix is **singly-traced circle** (curve tangent maintains a consistent sense of rotation about helix axis)
- **general helical PH quintics** — $\gcd(x', y', z')$ is a constant
tangent indicatrix is **doubly-traced circle** (curve tangent may exhibit reversals in sense of rotation about helix axis)



examples of monotone-helical (left) and general helical (right) PH quintics

$$\mathbf{r}'(t) = \mathcal{A}(t) \mathbf{i} \mathcal{A}^*(t) \quad \text{with} \quad \mathcal{A}(t) = \mathcal{A}_0(1-t)^2 + \mathcal{A}_1 2(1-t)t + \mathcal{A}_2 t^2$$

$$\text{set } \mathcal{A}_r = \mathcal{A}_0 (\alpha_r + \beta_r \mathbf{i} + \gamma_r \mathbf{j} + \delta_r \mathbf{k}) \quad \text{for } r = 1, 2$$

$$\mathbf{r}(t) = \text{general helical PH quintic}$$

$$\iff \gamma_1 : \gamma_2 = \delta_1 : \delta_2 \quad \text{and} \quad \beta_1 : \beta_2 = (\gamma_1^2 + \delta_1^2) : (\gamma_1 \gamma_2 + \delta_1 \delta_2)$$

$$\mathbf{r}(t) = \text{monotone-helical PH quintic}$$

$$\iff \alpha_2 = \frac{r\alpha_1 + s\beta_1}{\gamma_1^2 + \delta_1^2} + \frac{s^2 - r^2}{4(\gamma_1^2 + \delta_1^2)^2}, \quad \beta_2 = \frac{r\beta_1 - s\alpha_1}{\gamma_1^2 + \delta_1^2} + \frac{2rs}{4(\gamma_1^2 + \delta_1^2)^2}$$

$$\text{where } r = \gamma_1 \gamma_2 + \delta_1 \delta_2 \quad \text{and} \quad s = \gamma_1 \delta_2 - \gamma_2 \delta_1$$

for a helical PH space curve with $\sigma(t) = |\mathbf{r}'(t)|$ we have

$$\frac{\kappa}{\tau} = \tan \psi \quad \Rightarrow \quad |\mathbf{r}' \times \mathbf{r}''|^3 = \tan \psi \sigma^3 (\mathbf{r}' \times \mathbf{r}'') \cdot \mathbf{r}'''$$

using also the property $|\mathbf{r}' \times \mathbf{r}''|^2 = \sigma^2 \rho$ of all PH space curves gives

$$\rho^{3/2} = \tan \psi (\mathbf{r}' \times \mathbf{r}'') \cdot \mathbf{r}'''$$

Lemma. *A necessary condition for a spatial PH curve to be helical is that the polynomial $\rho(t)$ be a perfect square — i.e., the curve must be a **double PH curve**.*

trivially satisfied for all **PH cubics**, since $(\mathbf{r}' \times \mathbf{r}'') \cdot \mathbf{r}''' = \text{constant}$

$\deg((\mathbf{r}' \times \mathbf{r}'') \cdot \mathbf{r}''') = 6$ for **PH quintics**, so we must have $\rho(t) = \omega^2(t)$
for a quadratic polynomial $\omega(t)$ if $\mathbf{r}(t)$ is a helical PH quintic

“double” Pythagorean-hodograph structure

$|\mathbf{r}'(t)|$ and $|\mathbf{r}'(t) \times \mathbf{r}''(t)|$ are both **polynomials** in curve parameter t

$$x'^2 + y'^2 + z'^2 \equiv \sigma^2,$$

$$(y'z'' - y''z')^2 + (z'x'' - z''x')^2 + (x'y'' - x''y')^2 \equiv (\sigma\omega)^2.$$

Frenet frame, curvature, torsion are all **rational functions** of t

$$\mathbf{t} = \frac{\mathbf{r}'}{|\mathbf{r}'|}, \quad \mathbf{n} = \frac{\mathbf{r}' \times \mathbf{r}''}{|\mathbf{r}' \times \mathbf{r}''|} \times \mathbf{t}, \quad \mathbf{b} = \frac{\mathbf{r}' \times \mathbf{r}''}{|\mathbf{r}' \times \mathbf{r}''|},$$

$$\kappa = \frac{|\mathbf{r}' \times \mathbf{r}''|}{|\mathbf{r}'|^3}, \quad \tau = \frac{(\mathbf{r}' \times \mathbf{r}'') \cdot \mathbf{r}'''}{|\mathbf{r}' \times \mathbf{r}''|^2}$$

Beltran & Monterde (2007) have called them “2-PH curves”

every spatial PH curve satisfies $|\mathbf{r}'(t) \times \mathbf{r}''(t)|^2 = \sigma^2(t)\rho(t)$

the polynomial $\rho(t)$ can be defined in terms of $u(t), v(t), p(t), q(t)$
and $u'(t), v'(t), p'(t), q'(t)$ in **several different ways** :

$$\rho = 4 [(up' - u'p)^2 + (uq' - u'q)^2 + (vp' - v'p)^2 + (vq' - v'q)^2 + 2(uv' - u'v)(pq' - p'q)] \quad (1)$$

$$\rho = 4 [(uv' - u'v + pq' - p'q)^2 + (up' - u'p - vq' + v'q)^2 + (uq' - u'q + vp' - v'p)^2 - (uv' - u'v - pq' + p'q)^2] \quad (2)$$

$$\rho = 4 [(up' - u'p + vq' - v'q)^2 + (uq' - u'q - vp' + v'p)^2] \quad (3)$$

“double” PH structure — triples and quartuples

for a **double PH curve**, $\rho(t) = \omega^2(t)$ for some polynomial $\omega(t)$

form (3) of $\rho(t) \Rightarrow 2(up' - u'p + vq' - v'q), 2(uq' - u'q - vp' + v'p), \omega$
must comprise a **Pythagorean triple of polynomials**

$$2(up' - u'p + vq' - v'q) = k(a^2 - b^2)$$

$$2(uq' - u'q - vp' + v'p) = 2k a b$$

$$\omega = k(a^2 + b^2)$$

for polynomials $k(t), a(t), b(t)$ with $\gcd(a(t), b(t)) = \text{constant}$

hence, double PH curves involve both **Pythagorean triples**
and **Pythagorean quartuples** of polynomials !

helical PH quintics as “double” PH curves

$2(up' - u'p + vq' - v'q)$, $2(uq' - u'q - vp' + v'p)$, ω are quadratic

to satisfy second Pythagorean condition, we must have either

(1) $\deg(a(t), b(t)) = 1$ and $k(t) = \text{constant}$

(2) $a(t), b(t) = \text{constants}$ and $\deg(k(t)) = 2$

cases (1) & (2) identify **monotone-helical** and **general helical** PH quintics

\Rightarrow all **double PH quintics** are helical curves

there exist non-helical double PH curves

Beltran & Monterde (2007): all double PH cubics and quintics are helical
— but there exist double PH curves of degree 7 that are not helical

$$x(t) = \frac{1}{21}t^7 + \frac{1}{5}t^5 + t^3 - 3t, \quad y(t) = -\frac{1}{2}t^4 + 3t^2, \quad z(t) = -2t^3$$

$$|\mathbf{r}'(t)| = \frac{t^6 + 3t^4 + 9t^2 + 9}{3}, \quad |\mathbf{r}'(t) \times \mathbf{r}''(t)| = 2(t^2 + 1)(t^6 + 3t^4 + 9t^2 + 9)$$

$$\frac{\kappa(t)}{\tau(t)} = -\frac{9(t^2 + 1)^2}{2t^6 + 9t^4 - 9} \neq \text{constant}$$

In general, the curvature/torsion ratio for a double PH curve is

$$\frac{\kappa(t)}{\tau(t)} = \frac{\omega^3(t)}{[\mathbf{r}'(t) \times \mathbf{r}''(t)] \cdot \mathbf{r}'''(t)}$$

Hopf map model for spatial PH curves

Choi et al. (2002) — alternative to the quaternion representation

Hopf map $\mathbb{C} \times \mathbb{C} = \mathbb{R}^4 \rightarrow \mathbb{R}^3$ generates Pythagorean hodographs in \mathbb{R}^3

from **two complex polynomials** $\alpha(t) = u(t) + i v(t)$, $\beta(t) = q(t) + i p(t)$:

$$\begin{aligned} \mathbf{r}'(t) = H(\alpha(t), \beta(t)) &= (|\alpha(t)|^2 - |\beta(t)|^2, 2 \operatorname{Re}(\alpha(t) \overline{\beta(t)}), 2 \operatorname{Im}(\alpha(t) \overline{\beta(t)})) \\ &= (u^2(t) + v^2(t) - p^2(t) - q^2(t), \\ &\quad 2(u(t)q(t) + v(t)p(t)), 2(v(t)q(t) - u(t)p(t))) \end{aligned}$$

identify imaginary unit i with quaternion basis element \mathbf{i} — quaternion polynomial $\mathcal{A}(t)$ is related to the complex polynomials $\alpha(t)$ and $\beta(t)$ by

$$\mathcal{A}(t) = u(t) + v(t) \mathbf{i} + p(t) \mathbf{j} + q(t) \mathbf{k} = \alpha(t) + \mathbf{k} \beta(t)$$

polynomial $\rho(t)$ has simpler formulation in Hopf map model

$$\alpha\beta' - \alpha'\beta = (uq' - u'q - vp' + v'p) + i(up' - u'p + vq' - v'q)$$

$$\Rightarrow \rho(t) = 4 |\alpha(t)\beta'(t) - \alpha'(t)\beta(t)|^2$$

restricting $H(\alpha, \beta)$ to complex numbers satisfying $|\alpha|^2 + |\beta|^2 = 1$, it defines a map between the “3-sphere” $S^3 : u^2 + v^2 + p^2 + q^2 = 1$ in the space \mathbb{R}^4 spanned by coordinates (u, v, p, q) and the familiar “2-sphere” $S^2 : x^2 + y^2 + z^2 = 1$ in \mathbb{R}^3 with coordinates (x, y, z)

great circles of S^3 are mapped to points of S^2 by $H(\alpha, \beta)$

first known map between higher and lower dimension spheres that is not null homotopic (applications to quantum computing)

spatial PH quintic Hermite interpolants

spatial PH quintic interpolating end points $\mathbf{p}_i, \mathbf{p}_f$ & derivatives $\mathbf{d}_i, \mathbf{d}_f$

$$\mathbf{r}'(t) = \mathcal{A}(t) \mathbf{i} \mathcal{A}^*(t)$$

$$\text{where } \mathcal{A}(t) = \mathcal{A}_0(1-t)^2 + \mathcal{A}_1 2(1-t)t + \mathcal{A}_2 t^2$$

three equations in three quaternion unknowns $\mathcal{A}_0, \mathcal{A}_1, \mathcal{A}_2$

$$\mathbf{r}'(0) = \mathcal{A}_0 \mathbf{i} \mathcal{A}_0^* = \mathbf{d}_i \quad \text{and} \quad \mathbf{r}'(1) = \mathcal{A}_2 \mathbf{i} \mathcal{A}_2^* = \mathbf{d}_f$$

$$\begin{aligned} \int_0^1 \mathcal{A}(t) \mathbf{i} \mathcal{A}^*(t) dt &= \frac{1}{5} \mathcal{A}_0 \mathbf{i} \mathcal{A}_0^* + \frac{1}{10} (\mathcal{A}_0 \mathbf{i} \mathcal{A}_1^* + \mathcal{A}_1 \mathbf{i} \mathcal{A}_0^*) \\ &+ \frac{1}{30} (\mathcal{A}_0 \mathbf{i} \mathcal{A}_2^* + 4 \mathcal{A}_1 \mathbf{i} \mathcal{A}_1^* + \mathcal{A}_2 \mathbf{i} \mathcal{A}_0^*) \\ &+ \frac{1}{10} (\mathcal{A}_1 \mathbf{i} \mathcal{A}_2^* + \mathcal{A}_2 \mathbf{i} \mathcal{A}_1^*) + \frac{1}{5} \mathcal{A}_2 \mathbf{i} \mathcal{A}_2^* = \mathbf{p}_f - \mathbf{p}_i \end{aligned}$$

solution of fundamental equation

given vector $\mathbf{c} = |\mathbf{c}|(\lambda, \mu, \nu)$ find quaternion \mathcal{A} such that

$$\mathcal{A} \mathbf{i} \mathcal{A}^* = \mathbf{c}$$

one-parameter family of solutions

$$\mathcal{A}(\phi) = \sqrt{\frac{1}{2}(1 + \lambda)|\mathbf{c}|} \left(-\sin \phi + \cos \phi \mathbf{i} + \frac{\mu \cos \phi + \nu \sin \phi}{1 + \lambda} \mathbf{j} + \frac{\nu \cos \phi - \mu \sin \phi}{1 + \lambda} \mathbf{k} \right)$$

in \mathbb{R}^3 there is a continuous family of rotations mapping the vector \mathbf{i} into a given vector (λ, μ, ν)

families of spatial rotations

find $\mathcal{U} = (\cos \frac{1}{2}\theta, \sin \frac{1}{2}\theta \mathbf{n})$ that rotates $\mathbf{i} = (1, 0, 0) \rightarrow \mathbf{v} = (\lambda, \mu, \nu)$

$$n_x^2(1 - \cos \theta) + \cos \theta = \lambda,$$

$$n_x n_y(1 - \cos \theta) + n_z \sin \theta = \mu,$$

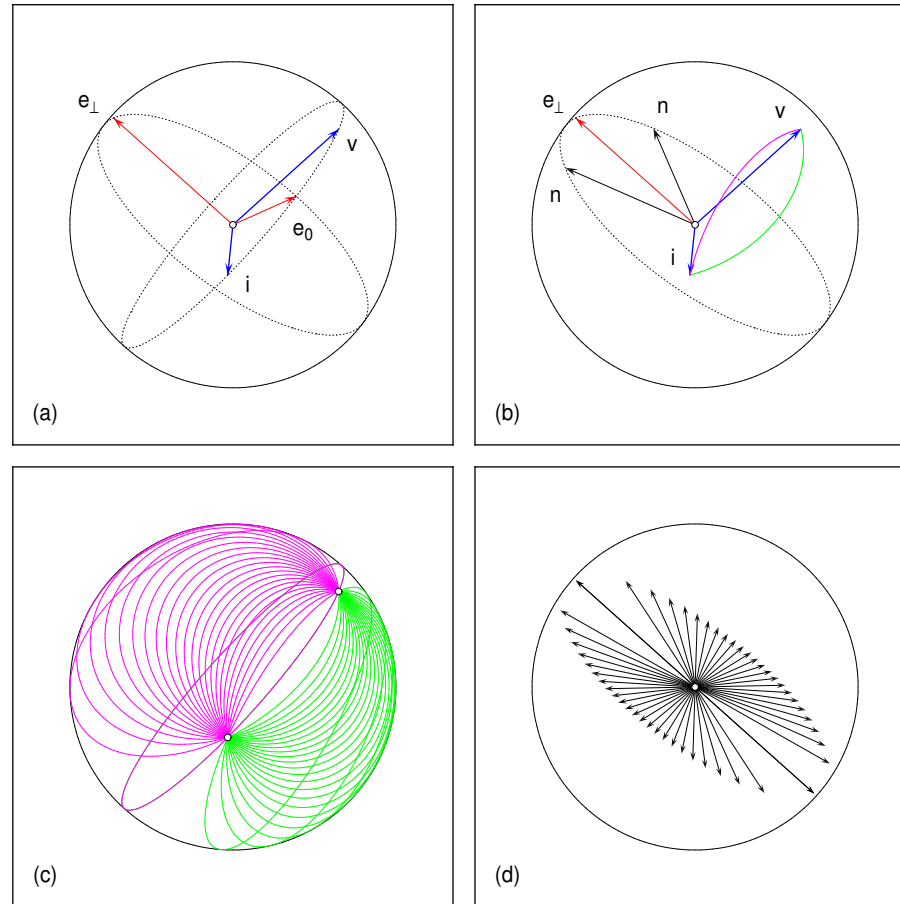
$$n_z n_x(1 - \cos \theta) - n_y \sin \theta = \nu.$$

$$n_x = \frac{\pm \sqrt{\cos^2 \frac{1}{2}\alpha - \cos^2 \frac{1}{2}\theta}}{\sin \frac{1}{2}\theta},$$

$$n_y = \frac{\pm \mu \sqrt{\cos^2 \frac{1}{2}\alpha - \cos^2 \frac{1}{2}\theta} - \nu \cos \frac{1}{2}\theta}{(1 + \lambda) \sin \frac{1}{2}\theta},$$

$$n_z = \frac{\pm \nu \sqrt{\cos^2 \frac{1}{2}\alpha - \cos^2 \frac{1}{2}\theta} + \mu \cos \frac{1}{2}\theta}{(1 + \lambda) \sin \frac{1}{2}\theta}.$$

general solution, where $\alpha = \cos^{-1} \lambda$ and $\alpha \leq \theta \leq 2\pi - \alpha$



Spatial rotations of unit vectors $\mathbf{i} \rightarrow \mathbf{v}$. (a) Vectors \mathbf{e}_\perp (orthogonal to \mathbf{i} , \mathbf{v}) and \mathbf{e}_0 (bisector of \mathbf{i} , \mathbf{v}) — the plane Π of \mathbf{e}_\perp and \mathbf{e}_0 is orthogonal to that of \mathbf{i} and \mathbf{v} . (b) **For any rotation angle $\theta \in (\alpha, 2\pi - \alpha)$** , where $\alpha = \cos^{-1}(\mathbf{i} \cdot \mathbf{v})$, **there are two possible rotations**, with axes \mathbf{n} inclined equally to \mathbf{e}_\perp in the plane Π . (c) Sampling of the family of spatial rotations $\mathbf{i} \rightarrow \mathbf{v}$, shown as loci on the unit sphere. (d) Axes \mathbf{n} for these rotations, lying in the plane Π .

construction of Hermite interpolants

derivative conditions have form of fundamental equation

— can be solved directly for \mathcal{A}_0 and \mathcal{A}_2

end-point condition can then be cast in fundamental form as

$$\begin{aligned} & (3\mathcal{A}_0 + 4\mathcal{A}_1 + 3\mathcal{A}_2) \mathbf{i} (3\mathcal{A}_0 + 4\mathcal{A}_1 + 3\mathcal{A}_2)^* \\ & = 120(\mathbf{p}_f - \mathbf{p}_i) - 15(\mathbf{d}_i + \mathbf{d}_f) + 5(\mathcal{A}_0 \mathbf{i} \mathcal{A}_2^* + \mathcal{A}_2 \mathbf{i} \mathcal{A}_0^*) \end{aligned}$$

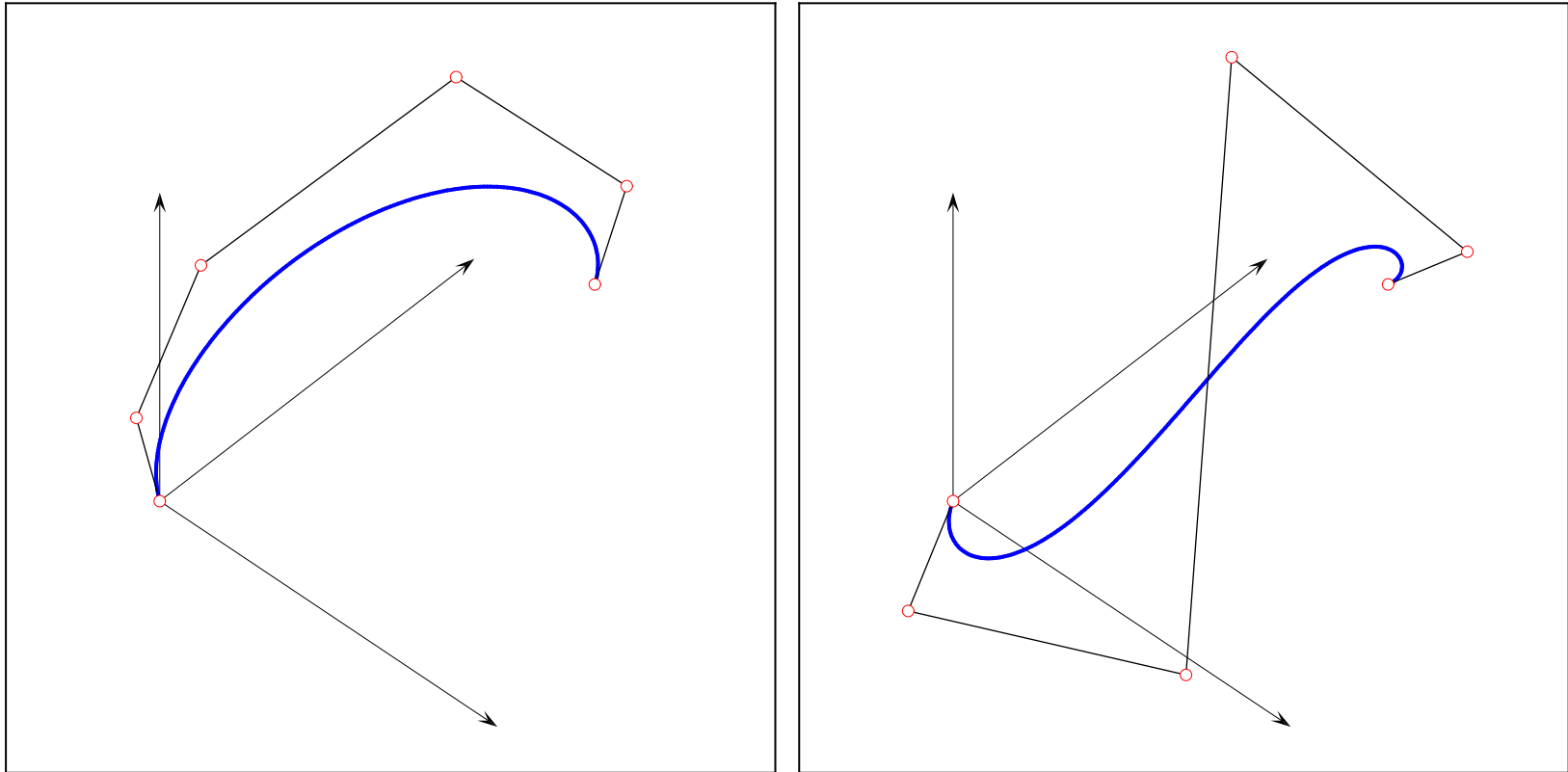
— solve for \mathcal{A}_1 , since \mathcal{A}_0 and \mathcal{A}_2 known

solution contains **three free parameters** ϕ_0, ϕ_1, ϕ_2

but **shape of interpolants** depends only on **their differences**

$\implies \exists$ **two-parameter family** of spatial PH quintic interpolants
to given Hermite data $\mathbf{p}_i, \mathbf{d}_i$ and $\mathbf{p}_f, \mathbf{d}_f$

spatial PH quintic Hermite interpolants



$\mathbf{p}_i = (0, 0, 0)$ and $\mathbf{p}_f = (1, 1, 1)$ for both curves

$\mathbf{d}_i = (-0.8, 0.3, 1.2)$ and $\mathbf{d}_f = (0.5, -1.3, -1.0)$ for curve on left,

$\mathbf{d}_i = (0.4, -1.5, -1.2)$ and $\mathbf{d}_f = (-1.2, -0.6, -1.2)$ for curve on right

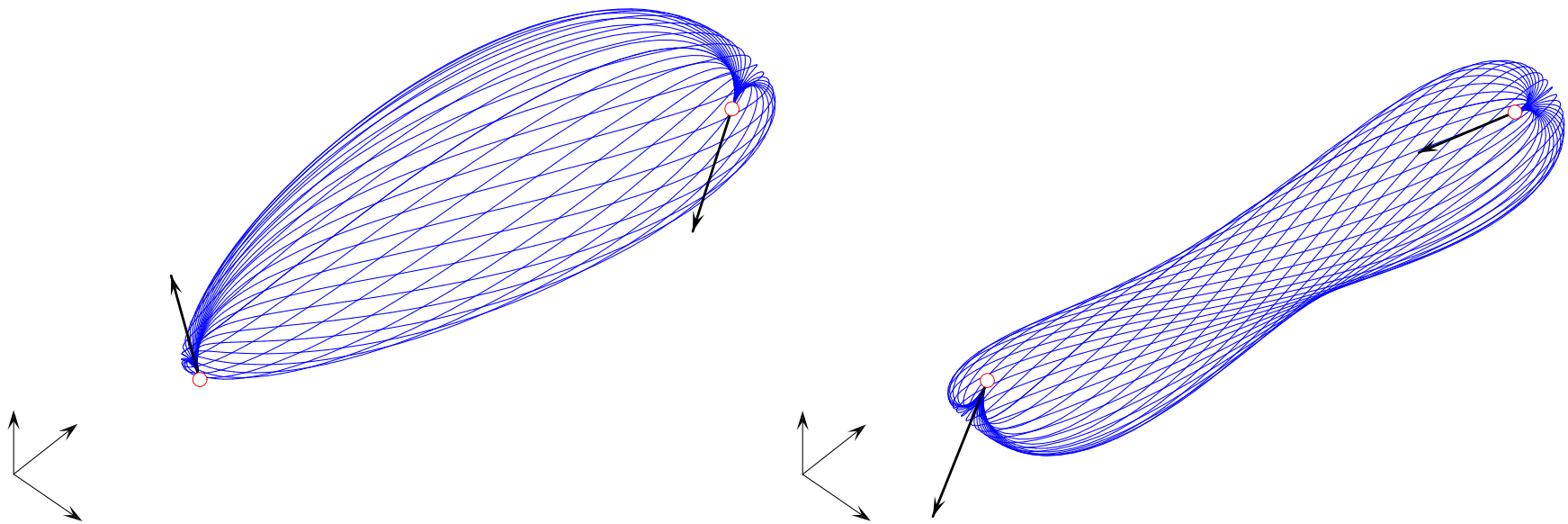
open problem: find “optimal” ϕ_0, ϕ_2 values

shape of interpolants depends strongly on free parameters

- minimize a **shape-measure integral**, e.g., $E = \int \kappa^2 ds$
(but highly non-linear in the free parameters)
- impose **additional conditions** (restrict solution space)
— e.g., helicity condition $\kappa/\tau = \text{constant}$
- study **geometry of quaternion curve** $\mathcal{A}(t)$
— need better insight on geometry of quaternion space \mathbb{H}
- extension to **spatial C^2 PH quintic splines**

two-parameter family of Hermite interpolants

nominal parameters: ϕ_0, ϕ_2 — **arc length** of interpolants depends only on difference $\phi_2 - \phi_0$, **shape** of interpolants depends only on mean $\frac{1}{2}(\phi_0 + \phi_2)$



sampling of the one-parameter families of spatial PH quintic interpolants, of identical arc length, to given first-order Hermite data — defined by holding $\phi_2 - \phi_0$ constant, and varying only $\frac{1}{2}(\phi_0 + \phi_2)$

recent results on Hermite interpolants

(Farouki, Giannelli, Manni, Sestini, 2007)

- dependence of total arc length S exhibits a **single minimum** and a **single maximum** with respect to the variable $\phi_2 - \phi_0$
- these extremal arc length interpolants correspond to **helical PH quintics**
- \Rightarrow helical PH quintic interpolants exist for any first-order Hermite data
- three “practical” criteria for identifying interpolants with **near-optimal shape properties** (all reproduce cubic PH interpolants when they exist)
- give values of the **energy integral** close to the absolute minimum, at modest computational cost

closure

- **spatial PH curves** ideally suited to computing rotation-minimizing frames (symbolic integration or rational approximation)
- **helical polynomial space curves** are always PH curves
 - two quintic types (monotone and general helical PH quintics)
- **double PH curves** have rational Frenet frames, curvature, torsion
 - all helical PH curves are necessarily double PH curves
- properties of solutions to **first-order Hermite interpolation problem**
- don't believe a **Russian** who tells you he has stopped drinking