

**A 4,000-year tour  
of algebra and geometry  
motivated by the investigation  
of Pythagorean-hodograph curves**

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## — chronology —

~ *1800 BC Larsa, Mesopotamia*

Plimpton 322 — “Pythagorean triples” cuneiform tablet

~ *540 BC Crotona, Magna Graecia*

the Pythagorean school — “theorem of Pythagoras”

~ *825 AD Muhammad al-Khwarizmi, Baghdad*

*Kitab al-mukhtasar fi hisab al-jabr wa'l-muqabalah*  
rules of algebra; solutions of specific cubic equations

*16th Century Italy – Tartaglia, Cardano, Ferrari*

“solution by radicals” for cubic and quartic equations

*1651–1708 Ehrenfried Walther von Tschirnhaus, Dresden*

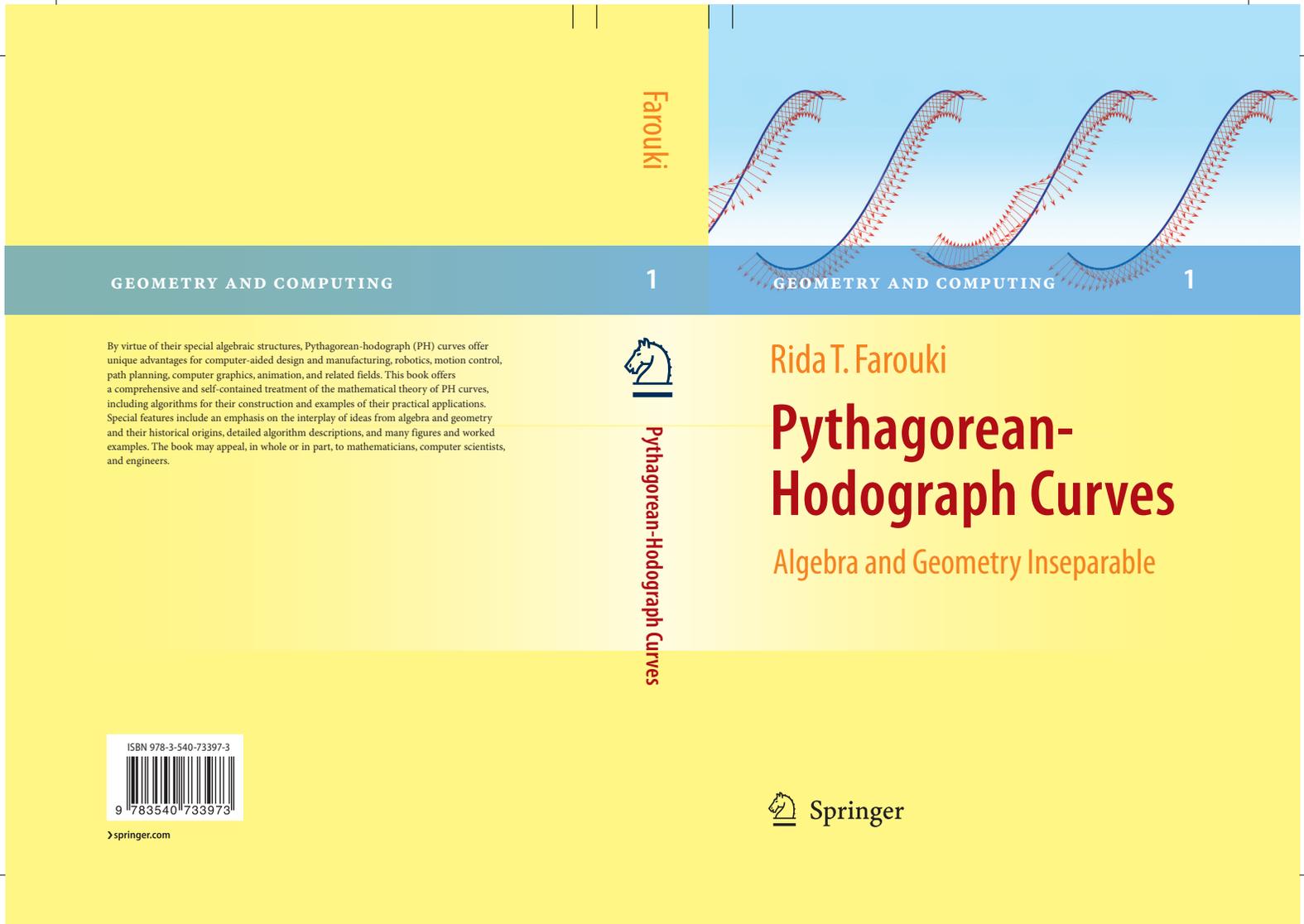
Tschirnhausen’s cubic; reduction of algebraic equations;  
caustics by reflection; manufacture of hard-fired porcelain

*1745–1818 Caspar Wessel, Copenhagen*

*Om directionens analytiske betegnning* — geometry of complex numbers

*1805–1865 Sir William Rowan Hamilton, Dublin*

algebra of quaternions; spatial rotations; origins of vector analysis



Farouki

GEOMETRY AND COMPUTING

1

GEOMETRY AND COMPUTING

1

By virtue of their special algebraic structures, Pythagorean-hodograph (PH) curves offer unique advantages for computer-aided design and manufacturing, robotics, motion control, path planning, computer graphics, animation, and related fields. This book offers a comprehensive and self-contained treatment of the mathematical theory of PH curves, including algorithms for their construction and examples of their practical applications. Special features include an emphasis on the interplay of ideas from algebra and geometry and their historical origins, detailed algorithm descriptions, and many figures and worked examples. The book may appeal, in whole or in part, to mathematicians, computer scientists, and engineers.



Pythagorean-Hodograph Curves

Rida T. Farouki

# Pythagorean-Hodograph Curves

Algebra and Geometry Inseparable

ISBN 978-3-540-73397-3



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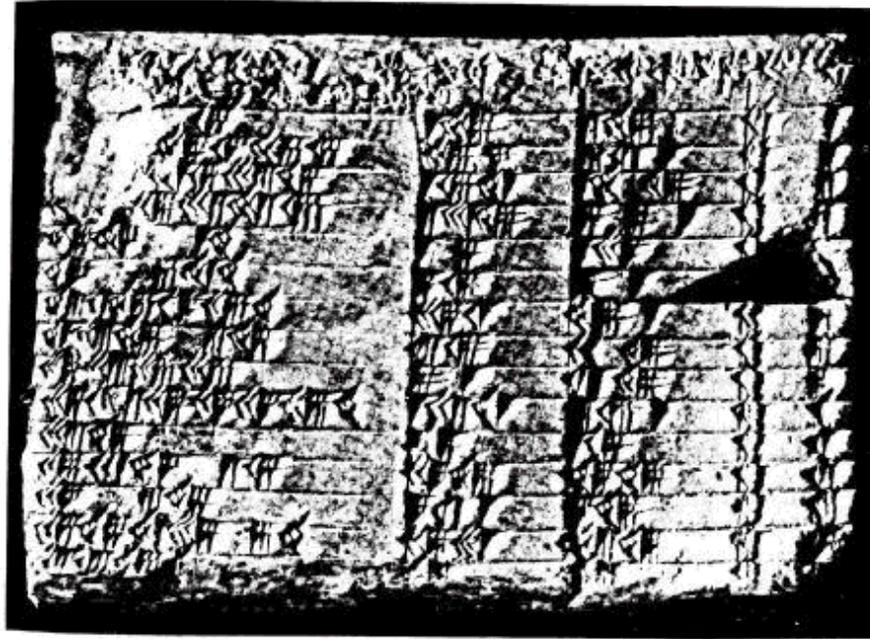


ISBN 978-3-540-73397-3 (2008) 728 pp. + 204 illustrations

*As long as algebra and geometry were separated, their progress was slow and their uses limited; but once these sciences were united, they lent each other mutual support and advanced rapidly together towards perfection.*

**Joseph-Louis Lagrange (1736-1813)**

## Plimpton 322

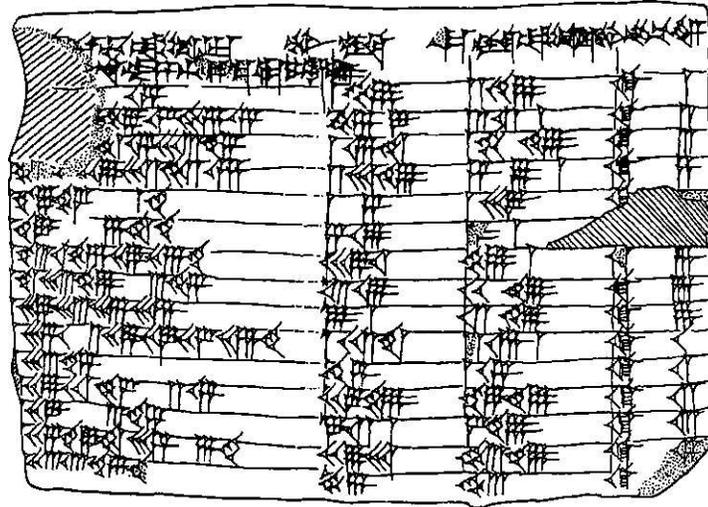


**origin** — Larsa (Tell Senkereh) in Mesopotamia ~ 1820–1762 BC

**discovered** in 1920s — bought in market by dealer Edgar A. Banks — sold to collector George A. Plimpton for \$10 — donated to Columbia University

**deciphered** in 1945 by Otto Neugebauer and Abraham Sachs — but significance, meaning, or “purpose” still the subject of great controversy

sketch of Plimpton 322 by Eleanor Robson



fifteen rows of **sexagesimal numbers** in four columns

$$3, 31, 49 \rightarrow 3 \times (60)^2 + 31 \times 60 + 49$$

$$1; 48, 54, 1, 40 \rightarrow 1 + \frac{48}{60} + \frac{54}{(60)^2} + \frac{1}{(60)^3} + \frac{40}{(60)^4}$$

first three columns **generated by integers**  $p, q$  **through formulae**

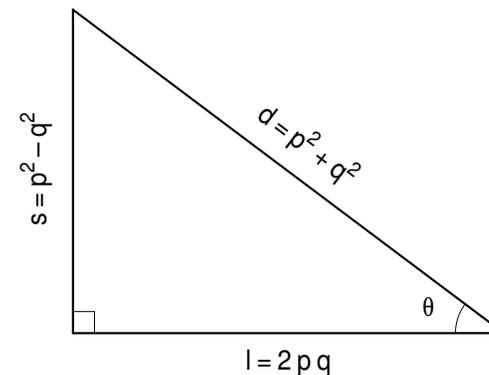
$$f = \left[ \frac{p^2 + q^2}{2pq} \right]^2, \quad s = p^2 - q^2, \quad d = p^2 + q^2$$

with  $1 < q < 60$ ,  $q < p$ ,  $p/q$  steadily decreasing

$f = [(p^2 + q^2)/2pq]^2$	$s = p^2 - q^2$	$d = p^2 + q^2$	#	$p$	$q$
[1;59,0,]15	1,59	2,49	1	12	5
[1;56,56,]58,14,50,6,15	56,7	1,20,25	2	1,4	27
[1;55,7,]41,15,33,45	1,16,41	1,50,49	3	1,15	32
[1;]5[3,1]0,29,32,52,16	3,31,49	5,9,1	4	2,5	54
[1;]48,54,1,40	1,5	1,37	5	9	4
[1;]47,6,41,40	5,19	8,1	6	20	9
[1;]43,11,56,28,26,40	38,11	59,1	7	54	25
[1;]41,33,59,3,45	13,19	20,49	8	32	15
[1;]38,33,36,36	8,1	12,49	9	25	12
1;35,10,2,28,27,24,26,40	1,22,41	2,16,1	10	1,21	40
1;33,45	45,0	1,15,0	11	1,0	30
1;29,21,54,2,15	27,59	48,49	12	48	25
[1;]27,0,3,45	2,41	4,49	13	15	8
1;25,48,51,35,6,40	29,31	53,49	14	50	27
[1;]23,13,46,40	56	1,46	15	9	5

## Pythagorean triples of integers

$$s^2 + l^2 = d^2 \iff \begin{cases} s = p^2 - q^2 \\ l = 2pq \\ d = p^2 + q^2 \end{cases}$$



# significance of Plimpton 322

R. C. Buck (1980), *Sherlock Holmes in Babylon*, Amer. Math. Monthly **87**, 335-345

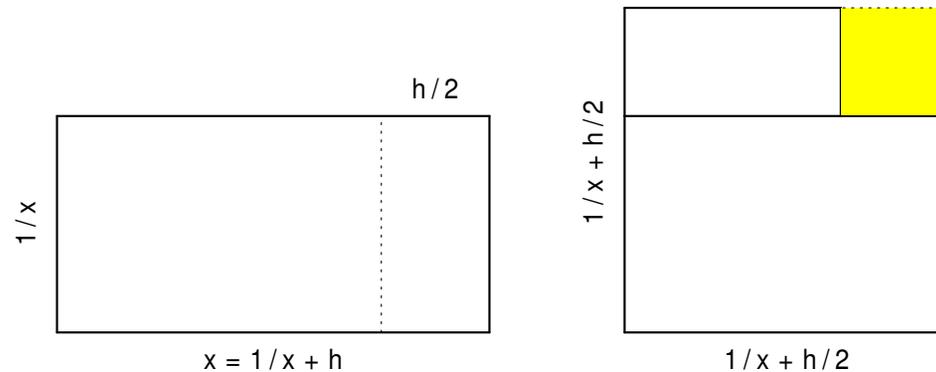
- investigate in isolation as a “mathematical detective story”
  - an exercise in number theory  $(s, l, d) = (p^2 - q^2, 2pq, p^2 + q^2)$  ?
  - construction of a trigonometric table —  $\sec^2 \theta = [(p^2 + q^2)/2pq]^2$  ?
- 

Eleanor Robson (2001), *Neither Sherlock Holmes nor Babylon — A Reassessment of Plimpton 322*, Historia Mathematica **28**, 167-206

- studied mathematics, then Akkadian and Sumerian at Oxford
- linguistic, cultural, historical context critical to a proper interpretation
- number theory & trigonometry interpretations improbable — more likely a set of “cut-and-paste geometry” exercises for the training of scribes

## “cut-and-paste geometry” problem

find **regular reciprocals**  $x, \frac{1}{x}$  satisfying  $x = \frac{1}{x} + h$  for integer  $h$



“cut-and-paste geometry” problem :  $1 = \left(\frac{1}{x} + \frac{h}{2}\right)^2 - \left(\frac{h}{2}\right)^2$

writing  $x = \frac{p}{q}, \frac{1}{x} = \frac{q}{p}$  gives  $\frac{1}{x} + \frac{h}{2} = \frac{1}{2} \left(\frac{p}{q} + \frac{q}{p}\right), \frac{h}{2} = \frac{1}{2} \left(\frac{p}{q} - \frac{q}{p}\right)$

scaling by  $2pq$  yields  $d = p^2 + q^2, s = p^2 - q^2$

$f$  represents (unscaled) area of large square

## “in praise of the scribal art”

Mesopotamian scribes were dedicated professionals  
— *the vanguard of human literacy and numeracy*

*The scribal art is the mother of orators, the father of masters,  
The scribal art is delightful, it never satiates you,  
The scribal art is not (easily) learned, (but) he who  
has learned it need no longer be anxious about it,  
Strive to master the scribal art, it will enrich you,  
Be industrious in the scribal art and it will provide  
you with wealth and abundance,  
Do not be careless about the scribal art, do not neglect it . . .*

translated by Dr. Ake W. Sjöberg  
University of Pennsylvania, Museum of Archaeology and Anthropology

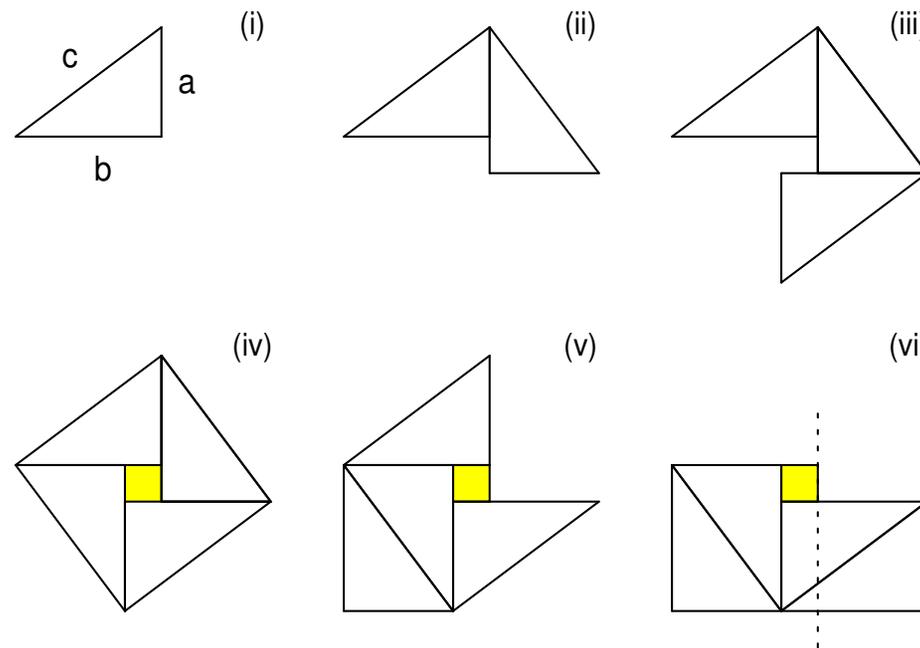
# Pythagoras of Samos ~ 580–500 BC

travelled to Egypt (possibly Mesopotamia), founded *Pythagorean School* in Croton, S. E. Italy — no written records, no contemporary biography

*philosophy* = “love of wisdom,” *mathematics* = “that which is learned”

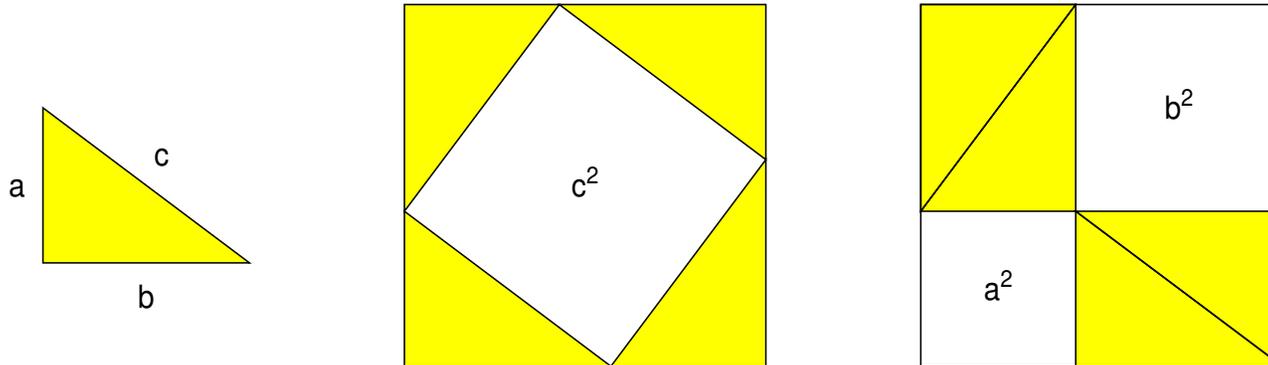
secretive and elitist practices incurred suspicions —

Pythagorean school destroyed, Pythagoras killed in Metapontum



*proof of Pythagorean theorem*,  $a^2 + b^2 = c^2$

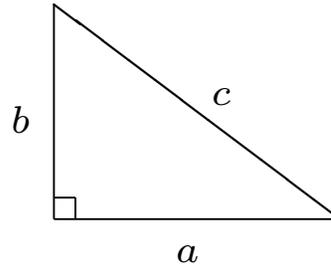
## Pythagoras as legend



*It is hard to let go of Pythagoras. He has meant so much to so many for so long. I can with confidence say to readers of this essay: most of what you believe, or think you know, about Pythagoras is **fiction**, much of it deliberately contrived.*

M. F. Burnyeat, *London Review of Books* (2007)

W. Burkert (1972), *Lore and Science in Ancient Pythagoreanism*, Harvard University Press (translated by E. L. Minar, Jr.)



$a, b, c = \text{real numbers}$

choose any  $a, b \rightarrow c = \sqrt{a^2 + b^2}$

$a, b, c = \text{integers}$

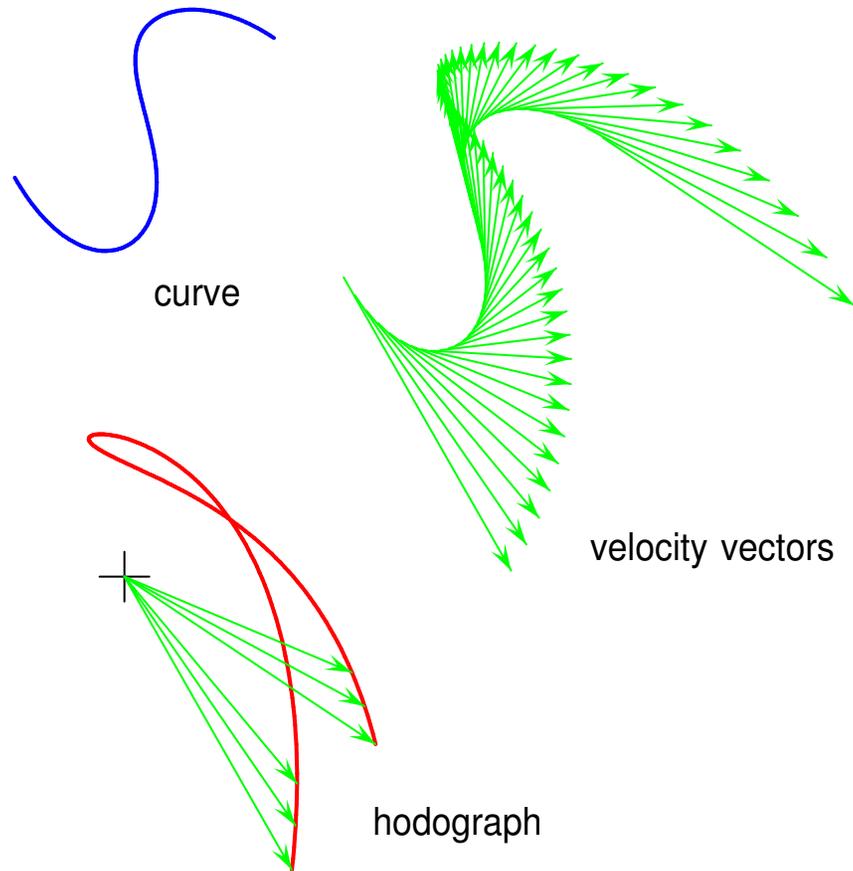
$$a^2 + b^2 = c^2 \iff \begin{cases} a = (u^2 - v^2)w \\ b = 2uvw \\ c = (u^2 + v^2)w \end{cases}$$

$a(t), b(t), c(t) = \text{polynomials}$

$$a^2(t) + b^2(t) \equiv c^2(t) \iff \begin{cases} a(t) = [u^2(t) - v^2(t)] w(t) \\ b(t) = 2u(t)v(t)w(t) \\ c(t) = [u^2(t) + v^2(t)] w(t) \end{cases}$$

K. K. Kubota, *Amer. Math. Monthly* **79**, 503 (1972)

**hodograph = curve derivative,  $r'(t)$**

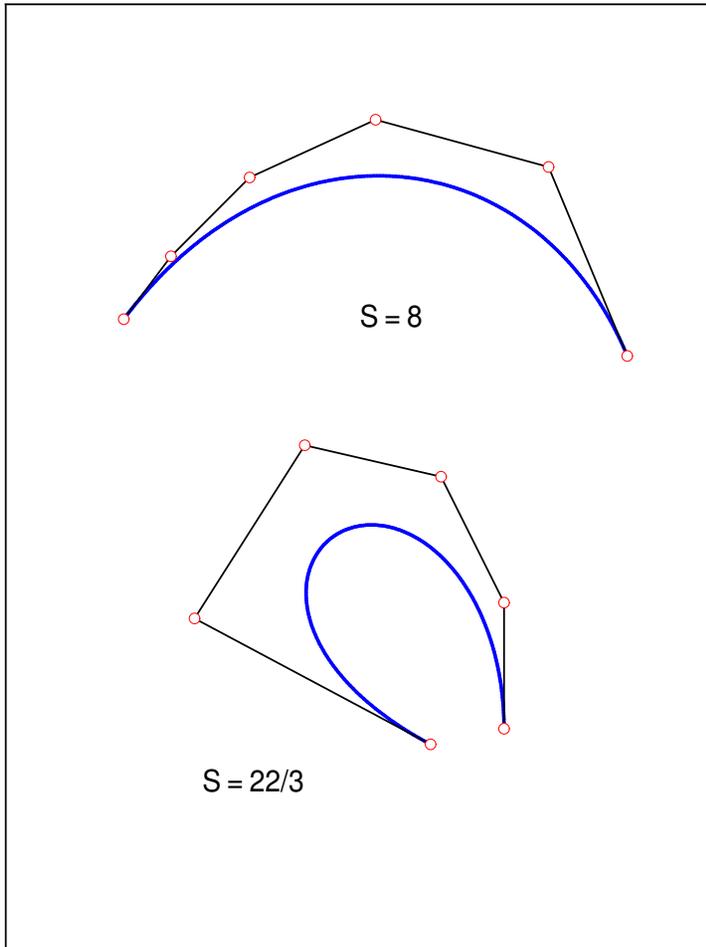


# Pythagorean-hodograph (PH) curves

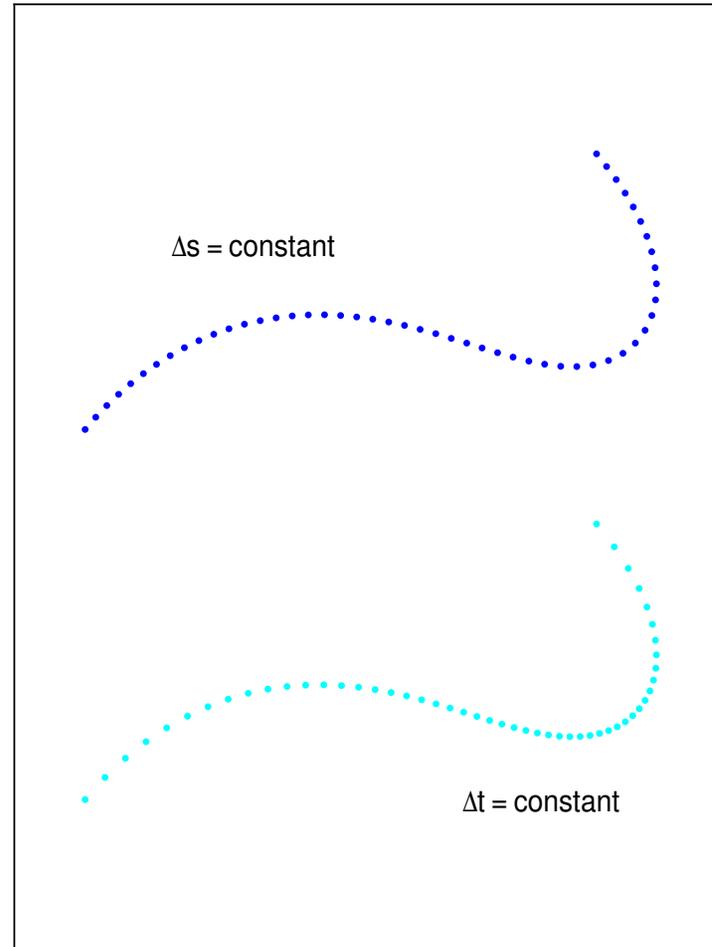
$\mathbf{r}(t) = \text{PH curve in } \mathbb{R}^n \iff$  coordinate components of  $\mathbf{r}'(t)$   
are elements of a “Pythagorean  $(n + 1)$ -tuple of polynomials”

PH curves exhibit **special algebraic structures** in their hodographs

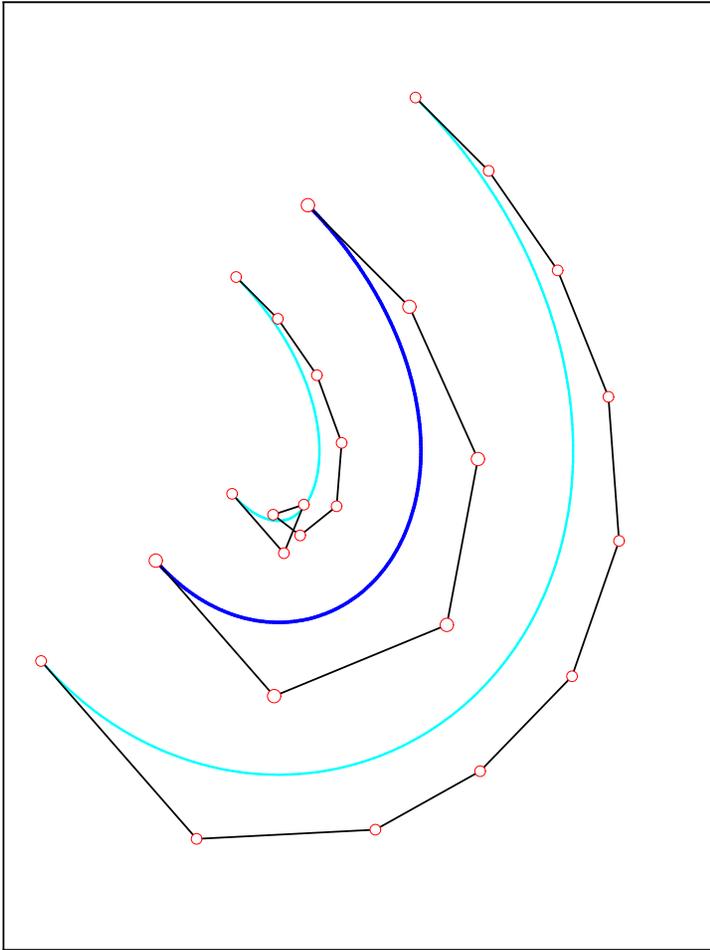
- rational offset curves  $\mathbf{r}_d(t) = \mathbf{r}(t) + d \mathbf{n}(t)$
- polynomial arc-length function  $s(t) = \int_0^t |\mathbf{r}'(\tau)| d\tau$
- closed-form evaluation of energy integral  $E = \int_0^1 \kappa^2 ds$
- real-time CNC interpolators, rotation-minimizing frames, etc.



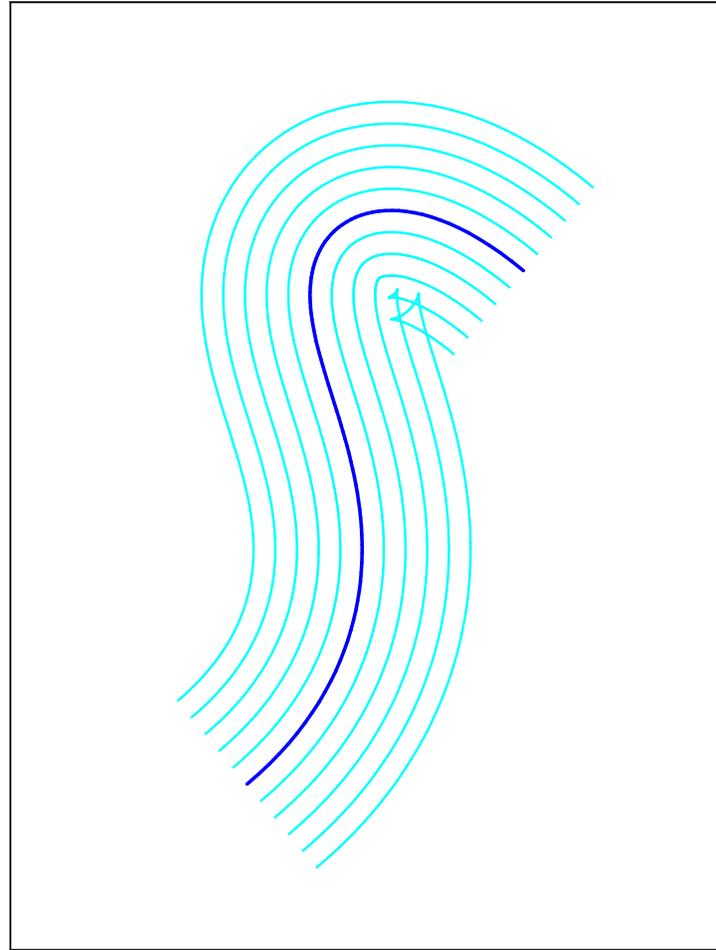
exact arc lengths



uniform arc-length rendering



Bezier control polygons of rational offsets



offsets exact at any distance

# al-jabr wa'l-muqabalah

etymology of **algebra** and **algorithm**

---

Muhammad ibn Musa al-Khwarizmi (c. 825 AD),  
*Kitab al mukhtasar fi hisab al-jabr wa'l-muqabalah*

*al-jabr wa'l-muqabalah* = “restoration and balancing”  
(rearranging terms in an equation to obtain solution)

translated into Latin as *Liber algebrae et almucabola*  
by Englishman Robert of Chester (c. 1125 AD, Segovia)

---

another treatise translated by Adelhard of Bath (c. 1130 AD) as  
*Algoritmi de numero Indorum* (al-Khwarizimi on the Hindu numeral  
system) — discovered in Cambridge by B. Boncompagni, 1857

## Omar Khayyam (1048–1131)

— astronomer, poet, mathematician —

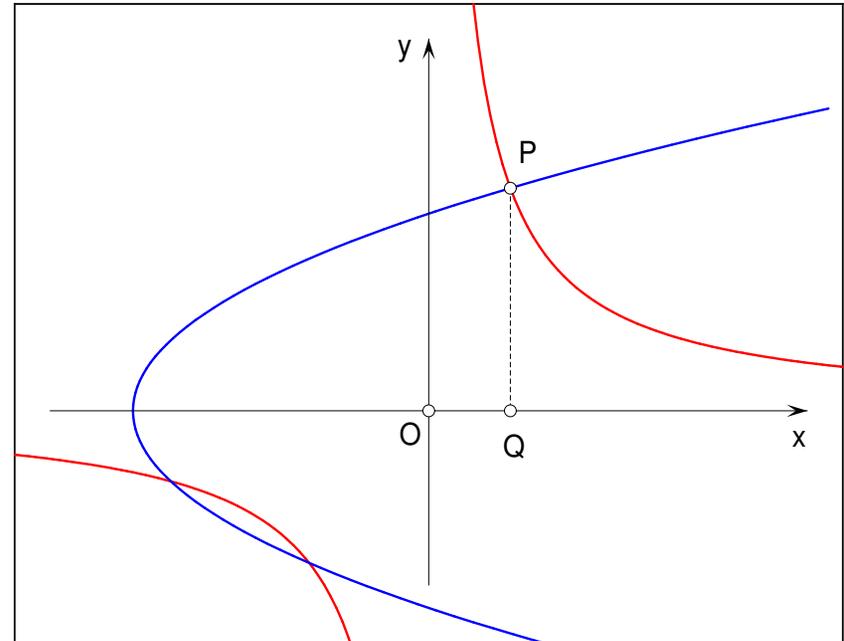
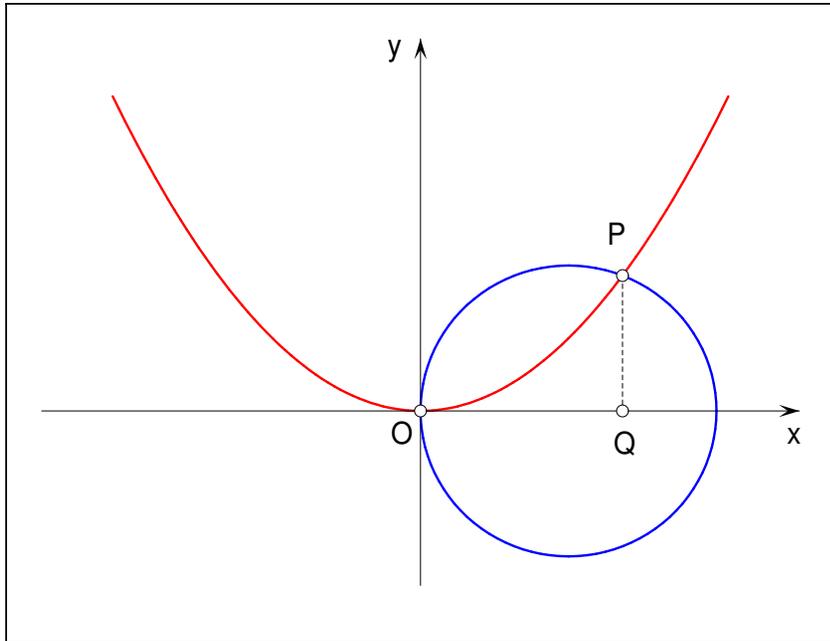
*I say, with God's help and good guidance, that the art of al-jabr and al-muqabalah is a mathematical art, whose subject is pure number and mensurable quantities in as far as they are unknown, added to a known thing with the help of which they may be found; and that thing is either a quantity or a ratio, so that no other is like it, and the thing is revealed to you by thinking about it. And what is required in it are the coefficients which are attached to its subject matter in the manner stated above. And the perfection of the art is knowing the mathematical methods by which one is led to the manner of extracting the numerical and mensurable unknowns.*

*Risala fi'l-barahin 'ala masa'il al-jabr wa'l-muqabalah*

## Omar Khayyam's solution of cubics

(i)  $x^3 + a^2x = a^2b$

(ii)  $x^3 + ax^2 = b^3$



(i) intersect parabola  $x^2 = ay$  & circle  $x^2 + y^2 - bx = 0$

(ii) intersect parabola  $y^2 = b(x + a)$  & hyperbola  $xy = b^2$

*in both cases, positive root = length OQ*

## Ruba'iyat (quatrains) of Omar Khayyam

Khayyam better known in the West as a poet: *Ruba'iyat* popularized by Edward FitzGerald (1859) — also musical score by Alan Hovhaness

*The moving finger writes, and, having writ,  
Moves on: nor all thy piety nor wit  
Shall lure it back to cancel half a line,  
Nor all thy tears wash out a word of it.*

---

Khayyam realized that some cubics have more than one real root — sought a method for solving general cubics, but lacked knowledge of **complex numbers**

# Pythagorean triples of polynomials

$$x'^2(t) + y'^2(t) = \sigma^2(t) \quad \Longleftrightarrow \quad \begin{cases} x'(t) = u^2(t) - v^2(t) \\ y'(t) = 2u(t)v(t) \\ \sigma(t) = u^2(t) + v^2(t) \end{cases}$$

K. Kubota, Pythagorean triples in unique factorization domains, *Amer. Math. Monthly* **79**, 503–505 (1972)

R. T. Farouki and T. Sakkalis, Pythagorean hodographs, *IBM J. Res. Develop.* **34** 736–752 (1990)

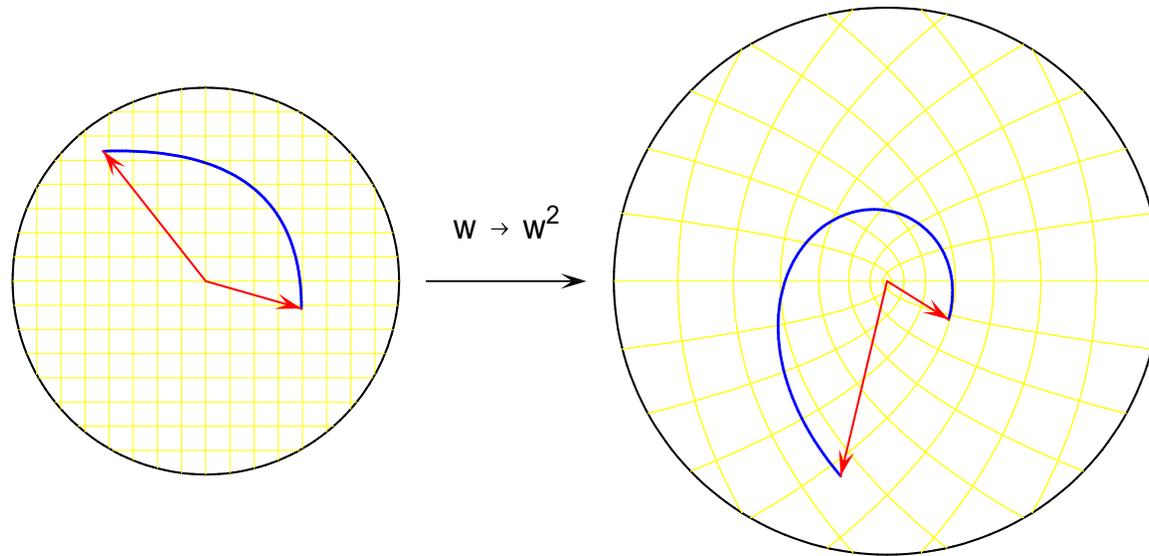
R. T. Farouki, The conformal map  $z \rightarrow z^2$  of the hodograph plane, *Comput. Aided Geom. Design* **11**, 363–390 (1994)

**(complex polynomial)<sup>2</sup> → planar Pythagorean hodograph**

choose complex polynomial  $\mathbf{w}(t) = u(t) + i v(t)$

→ **planar Pythagorean hodograph**  $\mathbf{r}'(t) = (x'(t), y'(t)) = \mathbf{w}^2(t)$

## complex number model for planar PH curves



$$\mathbf{w}(t) = u(t) + i v(t) \text{ maps to } \mathbf{r}'(t) = \mathbf{w}^2(t) = u^2(t) - v^2(t) + i 2 u(t)v(t)$$

**rotation invariance** of planar PH form: rotate by  $\theta$ ,  $\mathbf{r}'(t) \rightarrow \tilde{\mathbf{r}}'(t)$

then  $\tilde{\mathbf{r}}'(t) = \tilde{\mathbf{w}}^2(t)$  where  $\tilde{\mathbf{w}}(t) = \tilde{u}(t) + i \tilde{v}(t) = \exp(i \frac{1}{2} \theta) \mathbf{w}(t)$

in other words,

$$\begin{bmatrix} \tilde{u}(t) \\ \tilde{v}(t) \end{bmatrix} = \begin{bmatrix} \cos \frac{1}{2} \theta & -\sin \frac{1}{2} \theta \\ \sin \frac{1}{2} \theta & \cos \frac{1}{2} \theta \end{bmatrix} \begin{bmatrix} u(t) \\ v(t) \end{bmatrix}$$

## PH quintic Hermite interpolants

$$\mathbf{w}(t) = \mathbf{w}_0(1-t)^2 + \mathbf{w}_1 2(1-t)t + \mathbf{w}_2 t^2$$

$$\mathbf{z}(t) = \int \mathbf{w}^2(t) dt$$

$$\mathbf{z}_1 = \mathbf{z}_0 + \mathbf{w}_0^2/5,$$

$$\mathbf{z}_2 = \mathbf{z}_1 + \mathbf{w}_0\mathbf{w}_1/5,$$

$$\mathbf{z}_3 = \mathbf{z}_2 + (2\mathbf{w}_1^2 + \mathbf{w}_0\mathbf{w}_2)/15,$$

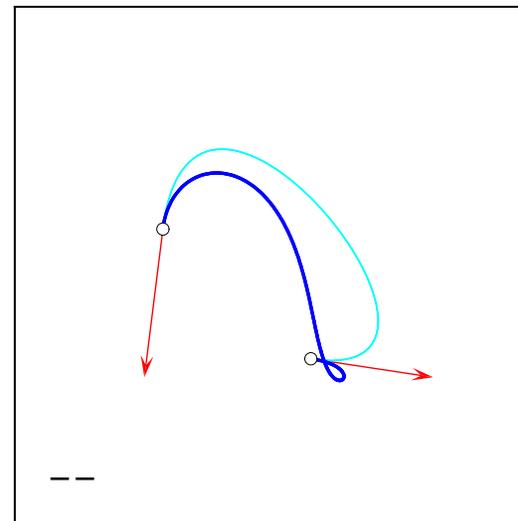
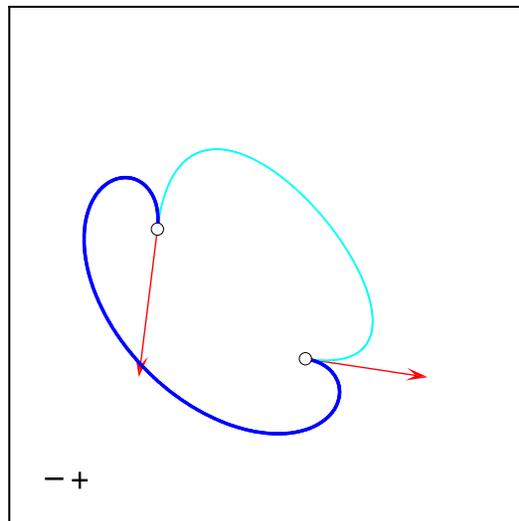
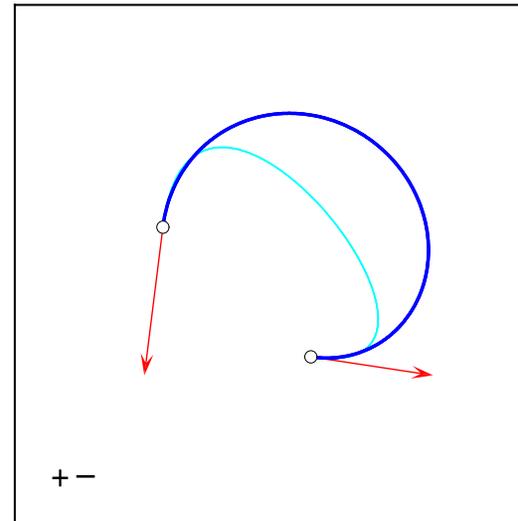
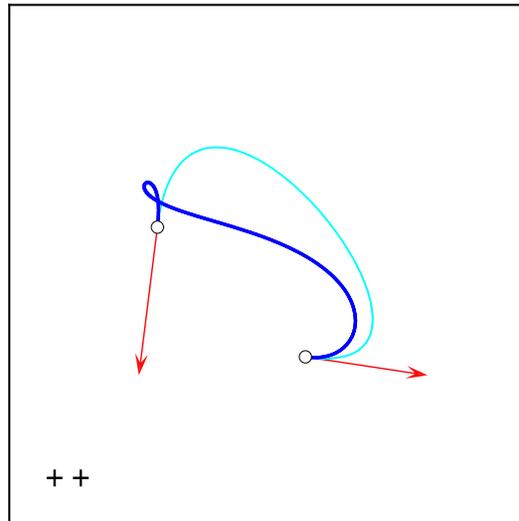
$$\mathbf{z}_4 = \mathbf{z}_3 + \mathbf{w}_1\mathbf{w}_2/5,$$

$$\mathbf{z}_5 = \mathbf{z}_4 + \mathbf{w}_2^2/5.$$

**problem:** find complex values  $\mathbf{w}_0, \mathbf{w}_1, \mathbf{w}_2$  given  $\mathbf{z}(0), \mathbf{z}(1)$  and  $\mathbf{z}'(0), \mathbf{z}'(1)$

**solution:** nested pair of quadratic equations  $\rightarrow$  four distinct interpolants!

# four distinct PH quintic Hermite interpolants



## choosing the “good” interpolant

absolute rotation index:  $R_{\text{abs}} = \frac{1}{2\pi} \int |\kappa| ds$

w.l.o.g. take  $\mathbf{z}(0) = 0$  and  $\mathbf{z}(1) = 1$  (shift+scale of Hermite data)

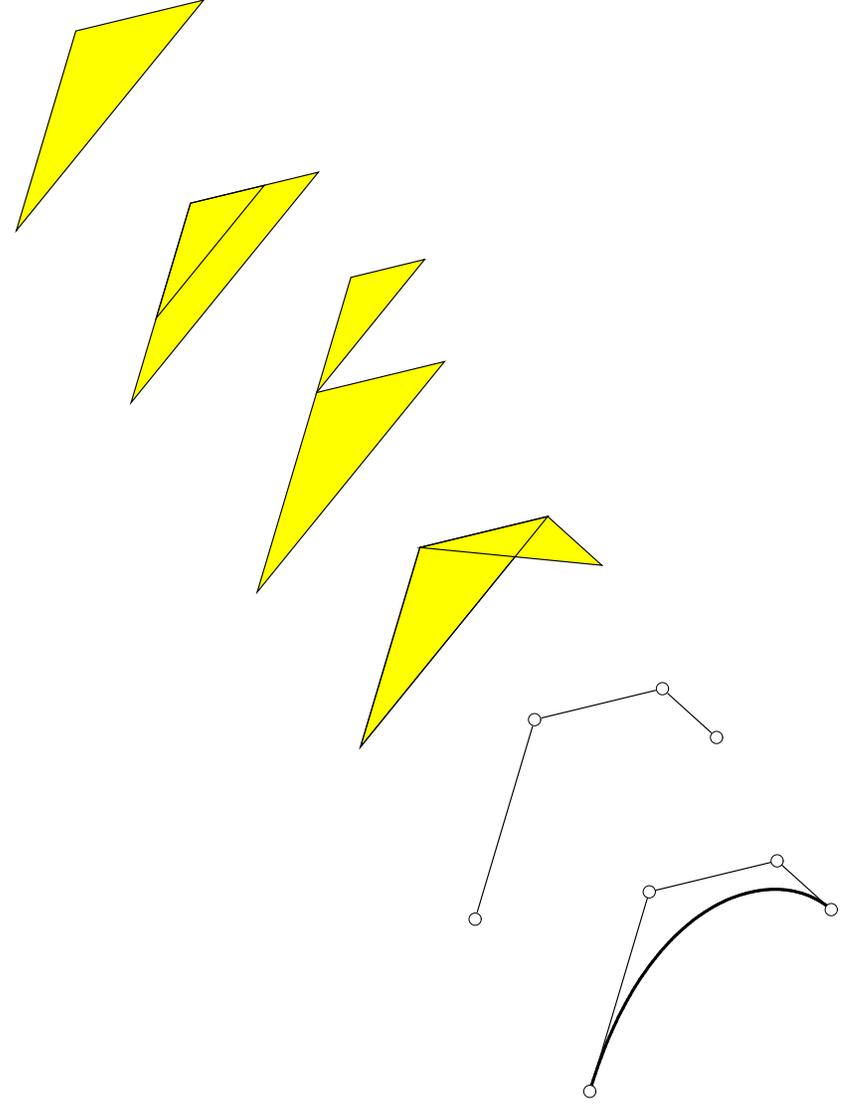
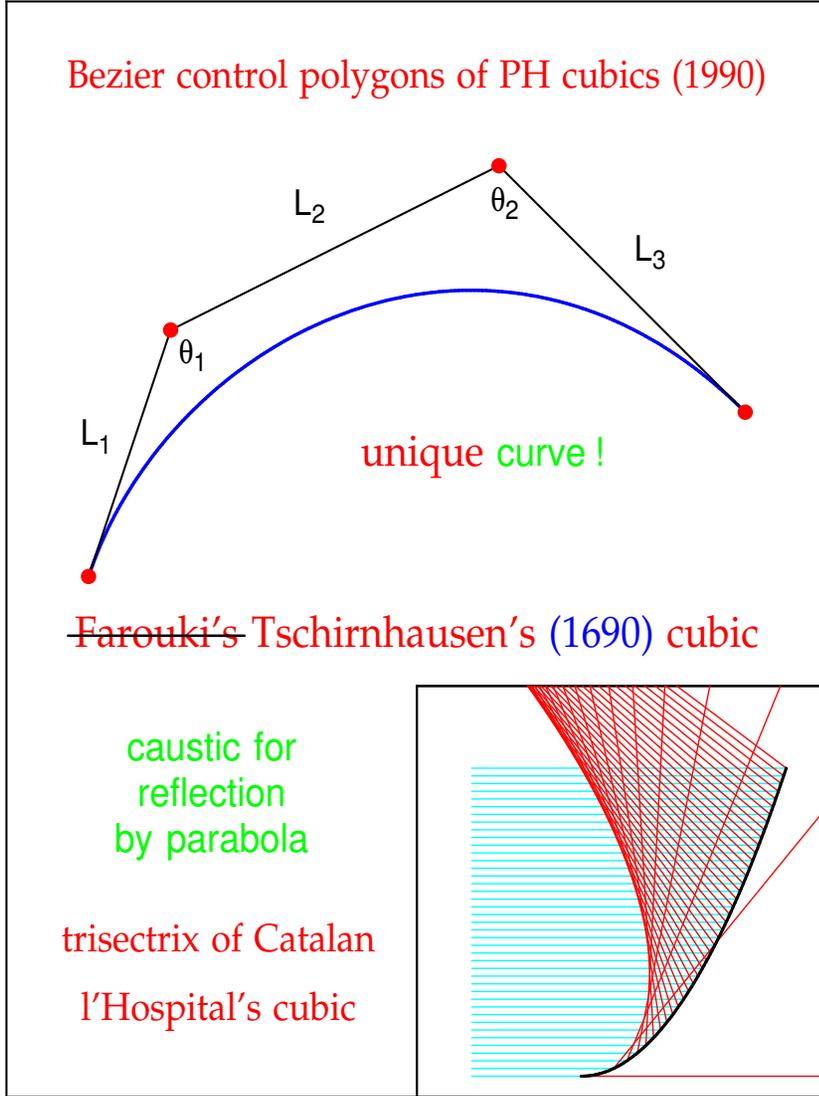
$$\mathbf{z}'(t) = \mathbf{k} [(t - \mathbf{a})(t - \mathbf{b})]^2$$

solve for  $\mathbf{k}$ ,  $\mathbf{a}$ ,  $\mathbf{b}$  instead of  $\mathbf{w}_0, \mathbf{w}_1, \mathbf{w}_2$

locations of  $\mathbf{a}$ ,  $\mathbf{b}$  relative to  $[0, 1]$  gives  $R_{\text{abs}}$ :

$$R_{\text{abs}} = \frac{\angle 0 \mathbf{a} 1 + \angle 0 \mathbf{b} 1}{\pi} \quad (\text{no inflections})$$

$$R_{\text{abs}} = \frac{1}{\pi} \sum_{k=0}^N \left| \angle t_k \mathbf{a} t_{k+1} - \angle t_k \mathbf{b} t_{k+1} \right|$$



cubic PH curve  $\iff$  Bézier polygon satisfies  $L_2 = \sqrt{L_1 L_3}$  and  $\theta_2 = \theta_1$

# Ehrenfried Walther von Tschirnhaus 1651–1708

- contemporary of Huygens, Leibniz, and Newton
- visited London and Paris after studying in Leiden
- investigated burning mirrors in Milan and Rome



- *Tschirnhaus transform* “A method for eliminating all intermediate terms from a given equation” — Acta Eruditorum, May 1683
- empirical & analytical investigations of *caustics by reflection*
- *Tschirnhausen’s cubic* = *unique cubic Pythagorean-hodograph curve*
- developed manufacture of hard-fired porcelain in Dresden

## Tschirnhaus transform of cubic equation

$$t^3 + a_2t^2 + a_1t + a_0 = 0$$

Descartes:  $t \rightarrow t - \frac{1}{3}a_2$  eliminates  $t^2$  term

Tschirnhaus considers cubics of the form  $t^3 = qt + r$

and defines transformation  $t \rightarrow \tau$  by  $t = \frac{2qa - 3r + 3a\tau}{q - 3a^2 - 3\tau}$ ,

where  $a$  is a root of the quadratic  $3qa^2 - 9ra + q^2 = 0$

simplification gives  $\tau^3 = \frac{(27r^2 - 4q^3)(2q^2 - 9ra)}{27q^2}$

*Bing–Jerrard “reduced form” of quintic:  $t^5 = qt + r$*

## explanation of Tschirnhaus transform

a **Möbius transform** (or fractional linear transform) of the form

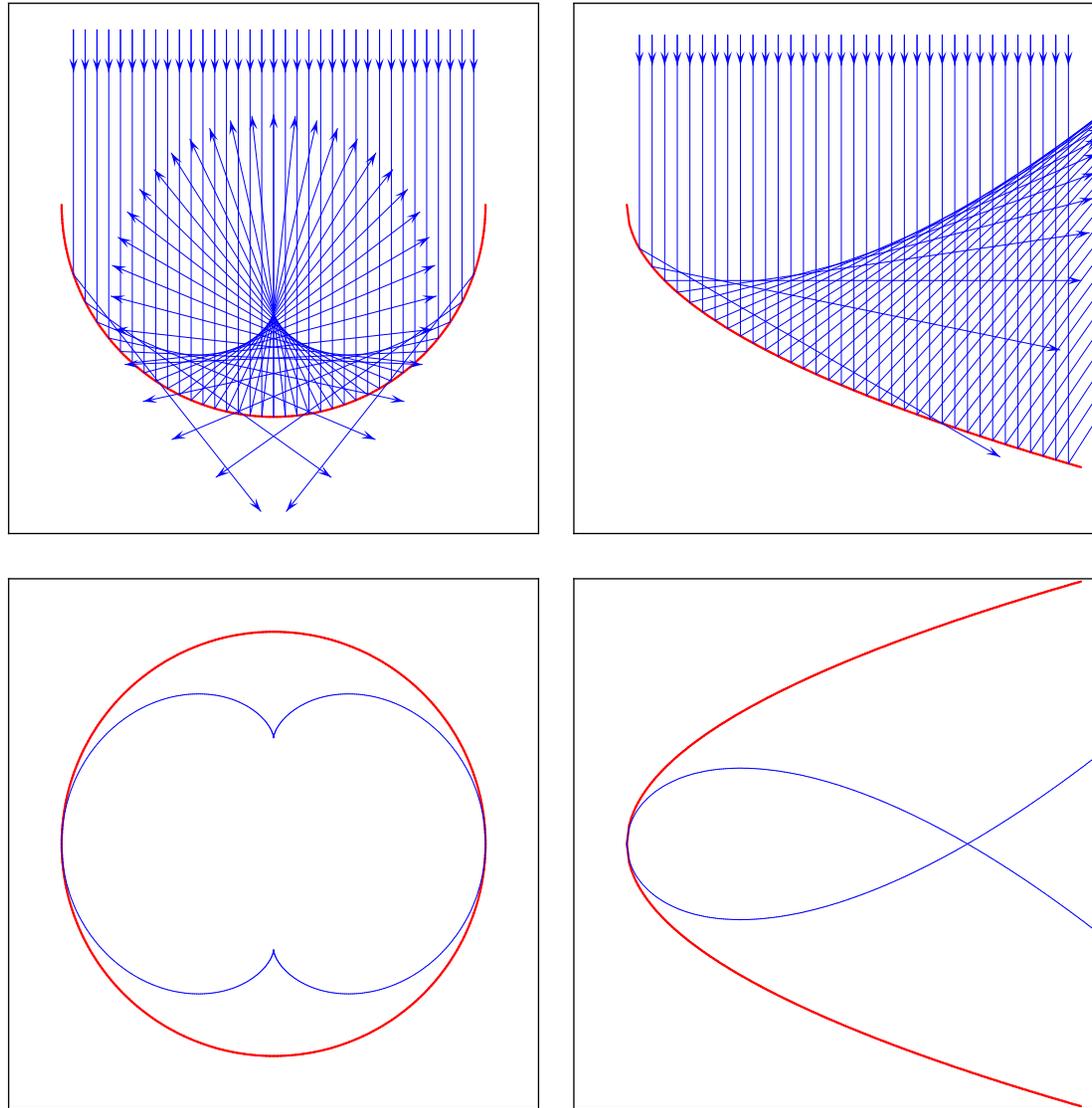
$$w = \frac{az + b}{cz + d}, \quad ad - bc \neq 0$$

maps three given points  $z_1, z_2, z_3$  to three target points  $w_1, w_2, w_3$

Tschirnhaus chooses the coefficients  $a, b, c, d$  so that the roots of the transformed cubic are **symmetrically located about the origin**

— i.e., the transformed cubic has the “simple” form  $w^3 = k$

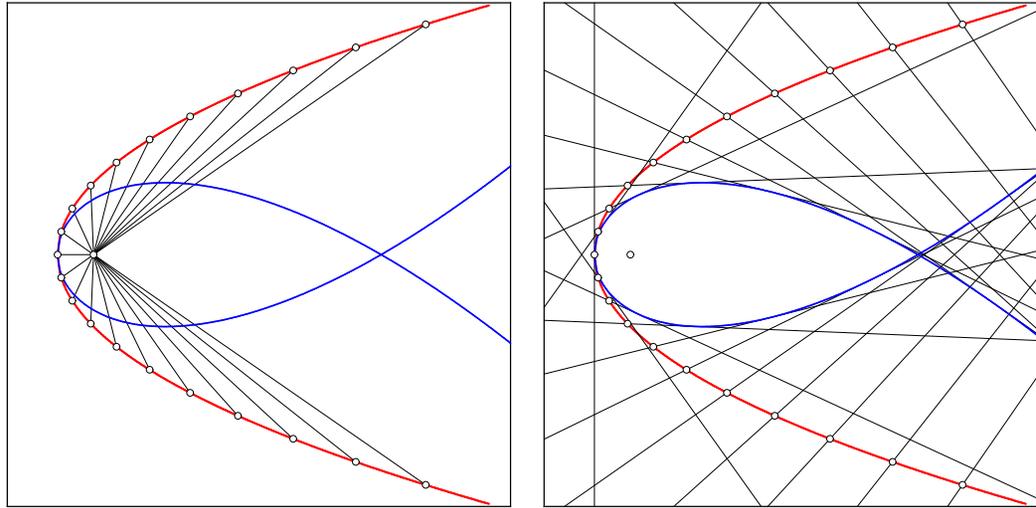
caustics for reflection by a circle and a parabola



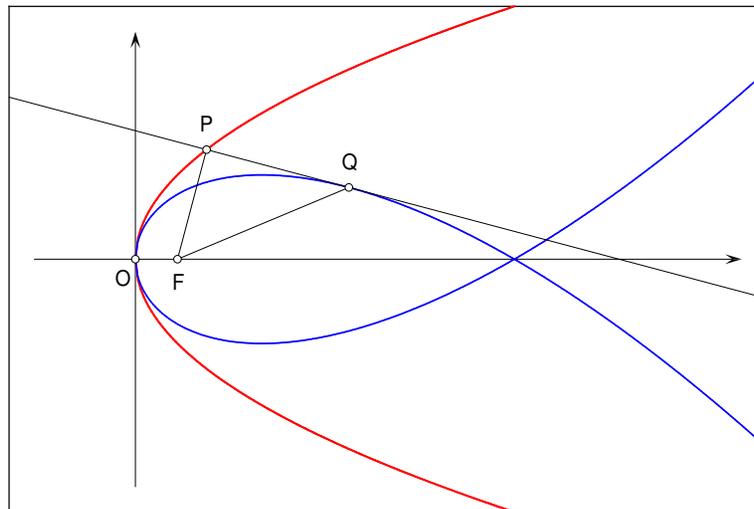
left: *epicycloid*

right: *Tschirnhausen's cubic*

Tschirnhausen's cubic = *negative pedal* of parabola with respect to focus



Tschirnhausen's cubic = *trisectrix of Catalan* —  $\angle PFQ = \frac{1}{3} \angle OFQ$



## slow acceptance of complex numbers

“solution by radicals” for cubics & quartics: **Niccolo Fontana** (1499-1557)  
**Girolamo Cardano** (1501-1576), and **Lodovico Ferrari** (1522-1565)

**complex arithmetic** is required in the solution procedure  
— *even when all the roots are real*

“We have shown the symbol  $\sqrt{-1}$  to be void of meaning, or rather self-contradictory and absurd. Nevertheless, by means of such symbols, a part of algebra is established which is of great utility.”

Augustus De Morgan (1806–1871)

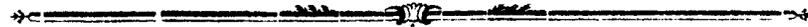
**geometrical interpretation** of arithmetic operations on complex numbers was the key to their widespread acceptance — first propounded by the little-known Norwegian surveyor **Caspar Wessel**

## Caspar Wessel (1745–1818)

- Norwegian surveyor gives first clear geometrical definitions of **vector addition** and **multiplication of complex numbers**
- *Om directionens analytiske betegning, et forsøg anvendt fornemmelig til plane og sphaeriske polygoners opløsning*  
(On the analytical representation of direction: an attempt, applied chiefly to solution of plane and spherical polygons)
- presented to Royal Danish Academy in 1797 by J. N. Tetens, Professor of Mathematics and Philosophy in Copenhagen, and published in the *Mémoires* for 1799
- precedes (published) work of Argand and Gauss, but remains largely unknown for 100 years
- republished by Sophus Lie in 1895, translated to French in 1897
- first complete English translation appeared only in 1999

Om  
Directionens analytiske Betegning,  
et Forsøg,  
anvendt fornemmelig  
til  
plane og sphæriske Polygoners Opløsning.

Af  
Caspar Wessel,  
Landmaaler.



Kjøbenhavn 1798.  
Trykt hos Johan Rudolph Thiele.

# Wessel's algebra of line segments

*How may we represent direction analytically: that is, how shall we express right lines so that in a single equation involving one unknown line and others known, both the length and direction of the unknown line may be expressed?*

## sums of directed line segments

*Two right lines are added if we unite them in such a way that the second line begins where the first one ends, and then pass a right line from the first to the last point of the united lines.*

## products of directed line segments

*As regards length, the product shall be to one factor as the other factor is to the unit. As regards direction, it shall diverge from the one factor as many degrees, and on the same side, as the other factor diverges from the unit, so that the direction angle of the product is the sum of the direction angles of the factors.*

## identification with complex numbers

*Let  $+1$  be the positive unit, and  $+\epsilon$  a unit perpendicular to it. Then the direction angle of  $+1$  is  $0^\circ$ , that of  $-1$  is  $180^\circ$ , that of  $+\epsilon$  is  $90^\circ$ , and that of  $-\epsilon$  is  $270^\circ$ . By the rule that the angle of a product is the sum of the angles of the factors, we have  $(+1)(+1) = +1$ ,  $(+1)(-1) = -1$ ,  $\dots$ ,  $(+\epsilon)(+\epsilon) = -1$ ,  $\dots$ . From this, it is seen that  $\epsilon = \sqrt{-1}$ .*

## construction of $C^2$ PH quintic splines

“tridiagonal” system of  $N$  quadratic equations in  $N$  complex unknowns

$$\begin{aligned} \mathbf{f}_1(\mathbf{z}_1, \dots, \mathbf{z}_N) &= 17 \mathbf{z}_1^2 + 3 \mathbf{z}_2^2 + 12 \mathbf{z}_1 \mathbf{z}_2 \\ &+ 14 \mathbf{a}_0 \mathbf{z}_1 + 2 \mathbf{a}_0 \mathbf{z}_2 + 12 \mathbf{a}_0^2 - 60 \Delta \mathbf{p}_1 = 0, \end{aligned}$$

$$\begin{aligned} \mathbf{f}_k(\mathbf{z}_1, \dots, \mathbf{z}_N) &= 3 \mathbf{z}_{k-1}^2 + 27 \mathbf{z}_k^2 + 3 \mathbf{z}_{k+1}^2 + 13 \mathbf{z}_k (\mathbf{z}_{k-1} + \mathbf{z}_{k+1}) \\ &+ \mathbf{z}_{k-1} \mathbf{z}_{k+1} - 60 \Delta \mathbf{p}_k = 0 \quad \text{for } k = 2, \dots, N-1, \end{aligned}$$

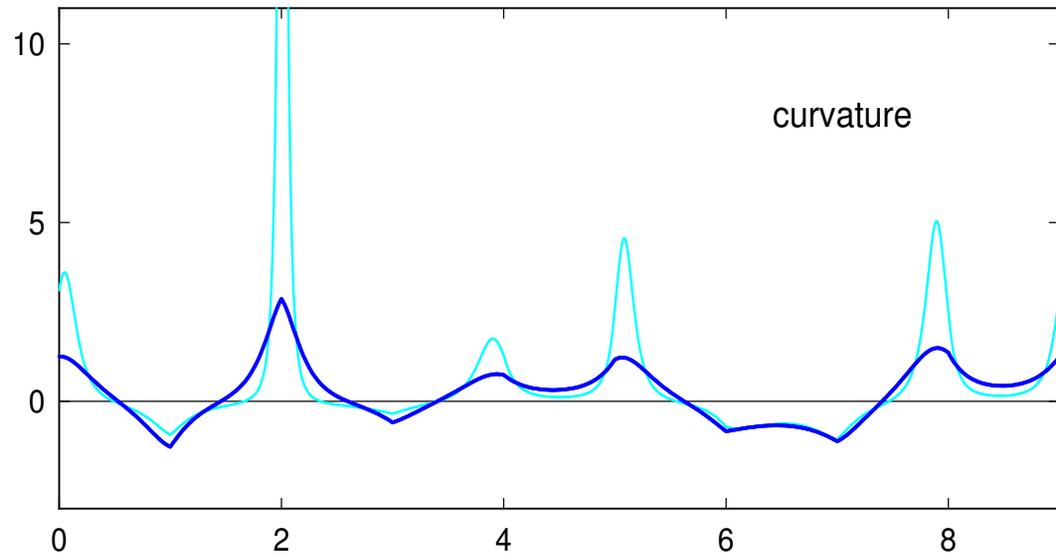
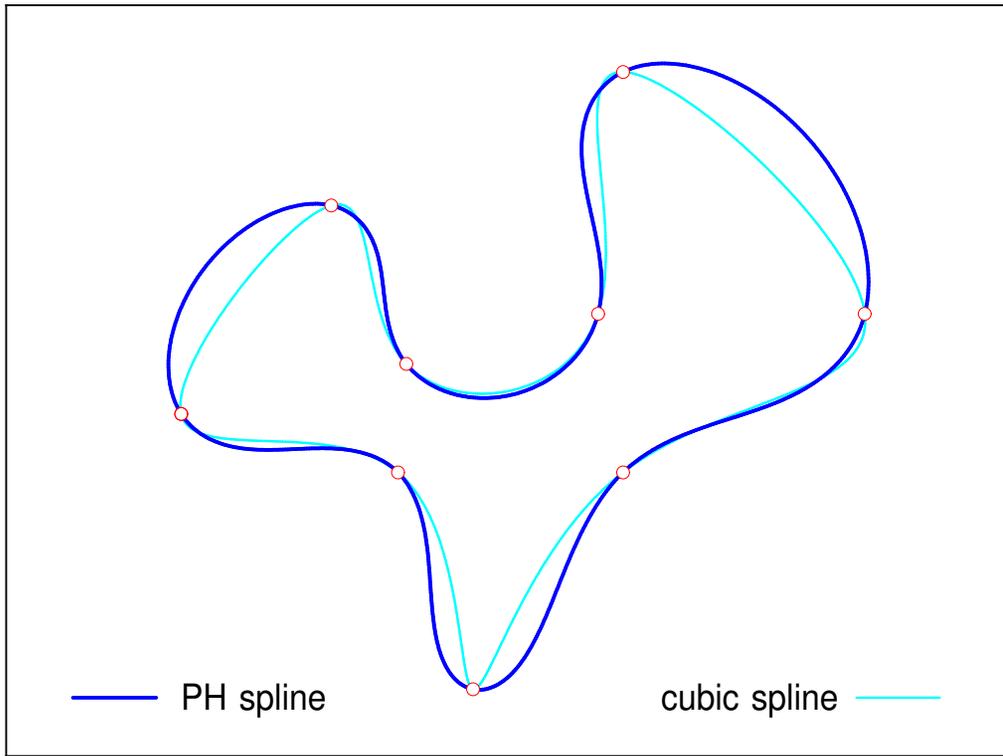
$$\begin{aligned} \mathbf{f}_N(\mathbf{z}_1, \dots, \mathbf{z}_N) &= 17 \mathbf{z}_N^2 + 3 \mathbf{z}_{N-1}^2 + 12 \mathbf{z}_N \mathbf{z}_{N-1} \\ &+ 14 \mathbf{a}_N \mathbf{z}_N + 2 \mathbf{a}_N \mathbf{z}_{N-1} + 12 \mathbf{a}_N^2 - 60 \Delta \mathbf{p}_N = 0. \end{aligned}$$

$2^{N+m}$  distinct solutions — just one “good” solution among them

$m \in \{-1, 0, +1\}$  depends on the adopted end conditions —  
cubic end spans, periodic end condition, specified end-derivatives

compute all solutions by homotopy method (slow for  $N \geq 10$ )

use Newton-Raphson iteration for just the “good” solution (efficient)



# Welcome to the Spline Zoo

cardinal spline

spline-in-tension

Wilson-Fowler spline

$\beta$  spline

$\nu$  spline

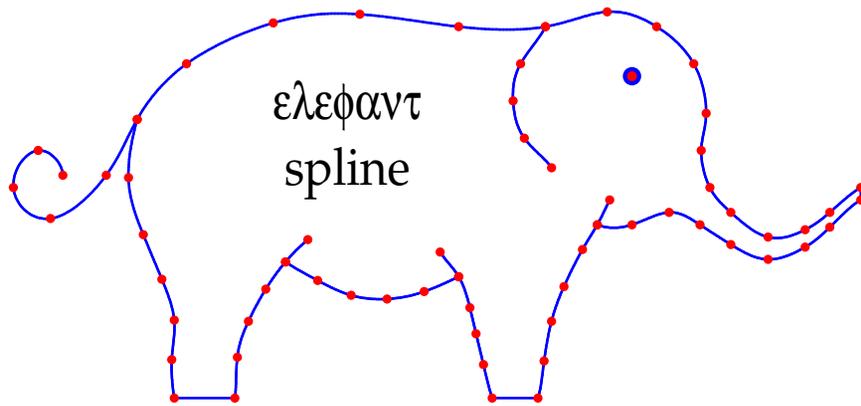
B spline

conic spline

Q spline

$\gamma$  spline

$\tau$  spline



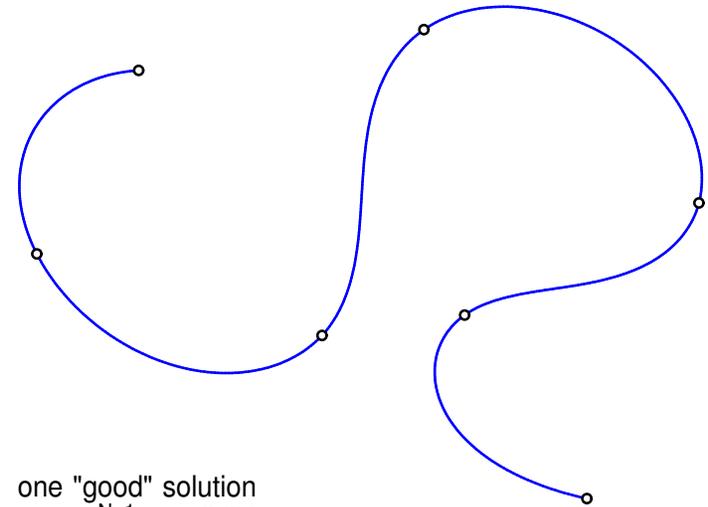
ελεφαντ  
spline

Catmull-Rom spline

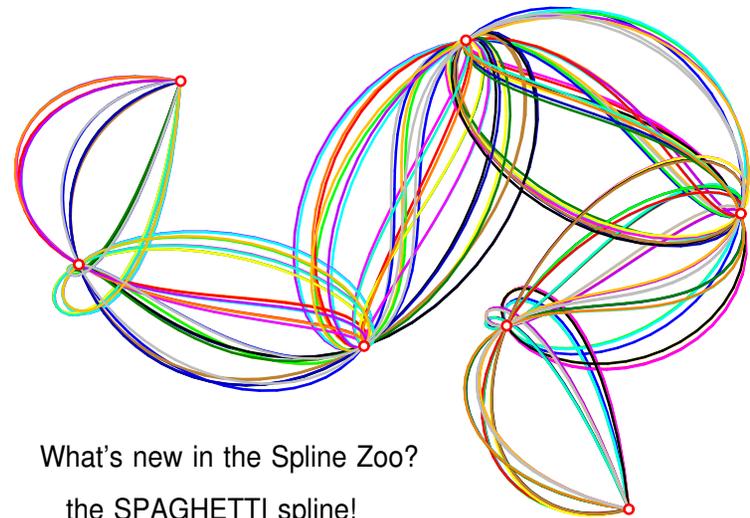
rational spline

"shape-preserving" splines

*"There's always more room in the Zoo"  
... Tom Lyche (1989)*



one "good" solution  
among  $2^{N-1}$  possibilities



What's new in the Spline Zoo?  
the SPAGHETTI spline!

# Pythagorean quartuples of polynomials

$$x'^2(t) + y'^2(t) + z'^2(t) = \sigma^2(t) \iff \begin{cases} x'(t) = u^2(t) + v^2(t) - p^2(t) - q^2(t) \\ y'(t) = 2[u(t)q(t) + v(t)p(t)] \\ z'(t) = 2[v(t)q(t) - u(t)p(t)] \\ \sigma(t) = u^2(t) + v^2(t) + p^2(t) + q^2(t) \end{cases}$$

R. Dietz, J. Hoschek, and B. Jüttler, An algebraic approach to curves and surfaces on the sphere and on other quadrics, *Computer Aided Geometric Design* **10**, 211–229 (1993)

H. I. Choi, D. S. Lee, and H. P. Moon, Clifford algebra, spin representation, and rational parameterization of curves and surfaces, *Advances in Computational Mathematics* **17**, 5-48 (2002)

choose quaternion polynomial  $\mathcal{A}(t) = u(t) + v(t) \mathbf{i} + p(t) \mathbf{j} + q(t) \mathbf{k}$

→ **spatial Pythagorean hodograph**  $\mathbf{r}'(t) = (x'(t), y'(t), z'(t)) = \mathcal{A}(t) \mathbf{i} \mathcal{A}^*(t)$

## Sir William Rowan Hamilton (1805–1865)

- now most famous for contributions to optics & mechanics, but devoted most of his life to developing **theory of quaternions**
- complex numbers = “algebraic couples” . . . no algebra of triples, but algebra of quartuples possible with **non-commutative product**
- terms **scalar** and **vector** first introduced by Hamilton in an article on quaternions (*Philosophical Magazine*, 1846)
- monumental works: *Lectures on Quaternions* (1853), *Elements of Quaternions* (1866) . . . would “take any man a twelvemonth to read, and near a lifetime to digest” (Sir John Herschel)
- Hamilton’s dream of revolutionizing mathematics & physics unrealized  
E. T. Bell, *Men of Mathematics* — Hamilton = **“An Irish Tragedy”**
- M. J. Crowe, *A History of Vector Analysis* — **prevailing ignorance** of the debt of vector analysis to quaternions is the real tragedy

# fundamentals of quaternion algebra

quaternions are **four-dimensional numbers** of the form

$$\mathcal{A} = a + a_x \mathbf{i} + a_y \mathbf{j} + a_z \mathbf{k} \quad \text{and} \quad \mathcal{B} = b + b_x \mathbf{i} + b_y \mathbf{j} + b_z \mathbf{k}$$

that obey the **sum** and (non-commutative) **product** rules

$$\mathcal{A} + \mathcal{B} = (a + b) + (a_x + b_x) \mathbf{i} + (a_y + b_y) \mathbf{j} + (a_z + b_z) \mathbf{k}$$

$$\begin{aligned} \mathcal{A}\mathcal{B} &= (ab - a_x b_x - a_y b_y - a_z b_z) \\ &+ (ab_x + ba_x + a_y b_z - a_z b_y) \mathbf{i} \\ &+ (ab_y + ba_y + a_z b_x - a_x b_z) \mathbf{j} \\ &+ (ab_z + ba_z + a_x b_y - a_y b_x) \mathbf{k} \end{aligned}$$

**basis elements**  $1, \mathbf{i}, \mathbf{j}, \mathbf{k}$  satisfy  $\mathbf{i}^2 = \mathbf{j}^2 = \mathbf{k}^2 = \mathbf{ijk} = -1$

equivalently,  $\mathbf{ij} = -\mathbf{ji} = \mathbf{k}$ ,  $\mathbf{jk} = -\mathbf{kj} = \mathbf{i}$ ,  $\mathbf{ki} = -\mathbf{ik} = \mathbf{j}$

## quaternions and spatial rotations

set  $\mathcal{A} = (a, \mathbf{a})$  and  $\mathcal{B} = (b, \mathbf{b})$  —  $a, b$  and  $\mathbf{a}, \mathbf{b}$  are **scalar** and **vector** parts  
( $a, b$  and  $\mathbf{a}, \mathbf{b}$  also called the **real** and **imaginary** parts of  $\mathcal{A}, \mathcal{B}$ )

$$\mathcal{A} + \mathcal{B} = (a + b, \mathbf{a} + \mathbf{b})$$

$$\mathcal{A}\mathcal{B} = (ab - \mathbf{a} \cdot \mathbf{b}, a\mathbf{b} + b\mathbf{a} + \mathbf{a} \times \mathbf{b})$$

any **unit quaternion** has the form  $\mathcal{U} = (\cos \frac{1}{2}\theta, \sin \frac{1}{2}\theta \mathbf{n})$

describes a **spatial rotation** by angle  $\theta$  about unit vector  $\mathbf{n}$

for any vector  $\mathbf{v}$  the quaternion product

$$\mathbf{v}' = \mathcal{U} \mathbf{v} \mathcal{U}^*$$

yields the vector  $\mathbf{v}'$  corresponding to a **rotation of  $\mathbf{v}$  by  $\theta$  about  $\mathbf{n}$**

unit quaternions = **(non-commutative) group** under multiplication

## quaternion model for spatial PH curves

quaternion polynomial  $\mathcal{A}(t) = u(t) + v(t) \mathbf{i} + p(t) \mathbf{j} + q(t) \mathbf{k}$

maps to  $\mathbf{r}'(t) = \mathcal{A}(t) \mathbf{i} \mathcal{A}^*(t) = [u^2(t) + v^2(t) - p^2(t) - q^2(t)] \mathbf{i}$   
 $+ 2[u(t)q(t) + v(t)p(t)] \mathbf{j} + 2[v(t)q(t) - u(t)p(t)] \mathbf{k}$

**rotation invariance** of spatial PH form: rotate by  $\theta$  about  $\mathbf{n} = (n_x, n_y, n_z)$

define  $\mathcal{U} = (\cos \frac{1}{2}\theta, \sin \frac{1}{2}\theta \mathbf{n})$  — then  $\mathbf{r}'(t) \rightarrow \tilde{\mathbf{r}}'(t) = \tilde{\mathcal{A}}(t) \mathbf{i} \tilde{\mathcal{A}}^*(t)$

where  $\tilde{\mathcal{A}}(t) = \mathcal{U} \mathcal{A}(t)$  (can interpret as **rotation in  $\mathbb{R}^4$** )

**spatial PH quintics** can be constructed as first-order Hermite interpolants

solve using quaternion representation  $\rightarrow$  **2-parameter family of solutions**

## Hopf map model for spatial PH curves

choose **complex polynomials**  $\alpha(t) = u(t) + i v(t)$ ,  $\beta(t) = q(t) + i p(t)$

$$\begin{aligned}\mathbf{r}'(t) &= (x'(t), y'(t), z'(t)) = (|\alpha(t)|^2 - |\beta(t)|^2, 2 \operatorname{Re}(\alpha(t)\bar{\beta}(t)), 2 \operatorname{Im}(\alpha(t)\bar{\beta}(t))) \\ &= (u^2(t) + v^2(t) - p^2(t) - q^2(t), 2 [u(t)q(t) + v(t)p(t)], 2 [v(t)q(t) - u(t)p(t)])\end{aligned}$$

**equivalence** — identify “i” with “j” and set  $\mathcal{A}(t) = \alpha(t) + \mathbf{k} \beta(t)$

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Heinz Hopf (1894–1971)

for  $|\alpha|^2 + |\beta|^2 = 1$ , defines map from 3–sphere  $S^3$  to 2–sphere  $S^2$

→ **distinct circles** on  $S^3$  mapped to **distinct points** on  $S^2$  (**fiber bundle**)

first example of map between spheres that is not **null–homotopic**

## closure

- **advantages** of PH curves: rational offset curves, exact arc-length computation, real-time CNC interpolators, exact rotation-minimizing frames, bending energies, etc.
- **applications** of PH curves in digital motion control, path planning, robotics, animation, computer graphics, etc.
- **investigation** of PH curves involves a wealth of concepts from **algebra** and **geometry** with a long and fascinating history
- many **open problems** remain: optimal choice of degrees of freedom,  $C^2$  spline formulations, control polygons for design of PH splines, deeper geometrical insight into quaternion representation, etc.

## “science and humility”

If I have seen further, it is by standing on the shoulders of giants.

Sir Isaac Newton, letter to Robert Hooke (1675)

Trace science then, with modesty thy guide;  
First strip off all her equipage of pride,  
Deduct what is but vanity, or dress,  
Or learning's luxury, or idleness;  
Or tricks to show the stretch of human brain,  
Mere curious pleasure, or ingenious pain:  
Expunge the whole, or lop th'excrescent parts  
Of all, our vices have created arts:  
Then see how little the remaining sum,  
Which served the past, and must the times to come!

Alexander Pope (1688–1744), *Essay on Man*