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One East Wasker Drive Sully 700 Chicago, IL 60501 312,570,2400

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Amit M. Kanvinde University of California - Davis 2001, ENGR III Dept. of Civil & Env. Engineering Davis, CA 95616



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2014

Date

Seismic Response of Partial Joint Penetration Welded Column Splices in Moment Resisting Frames

SEAN M. SHAW¹, KIMBERLY STILLMAKER², AND AMIT M. KANVINDE^{3#}

5 ABSTRACT

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Current standards require that welded column splice connections in Special or Intermediate Moment Resisting 6 7 Frames (SMRFs or IMRFs) feature Complete Joint Penetration (CJP) groove welds to develop the full flexural 8 strength of the column. In contrast to PJP welds, CJP welds are often costly, requiring additional material, inspection and back-gouging or backing-bar removal to ensure complete penetration. However, unlike welded beam column 9 connections which fractured in the 1994 Northridge Earthquake, column splices have modest deformation demands. 10 This suggests that perhaps with modern, toughness-rated weld filler materials and welding practice, PJP welded 11 splices may offer acceptable performance under seismic loads. Motivated by these observations, a study featuring 12 13 five full-scale tests on PJP-welded column splices is presented to examine their feasibility for use in IMRFs or SMRFs in seismic environments. The test matrix investigates a range of parameters including column sizes 14 (consistent with use in 4, 9 and 20 story buildings) as well as variations in connection details (single and double-15 beveled, welded and unwelded webs, presence of a weld access hole). All specimens utilized columns with specified 16 17 yield strength 50 ksi for the columns and ultimate strength 70 ksi for the weld electrode. The specimens were loaded 18 cyclically in a three-point bend configuration such that the splice was subjected to demands consistent with those in severe earthquakes; a loading protocol was developed specifically for this purpose based on Nonlinear Time History 19 simulations. All the full-scale specimens exhibited excellent performance, such that the splices exceeded the 20 moment capacity of the smaller connected column. The full scale data is complemented by a series of ancillary tests 21 such that the results may be interpreted with respect to measured, rather than specified material properties. A series 22 of Finite Element (FE) fracture mechanics simulations is also presented to assist with the generalization of test 23 24 results. The FE simulations indicate that for the tested connections, the toughness demands are below the minimum expected toughness suggesting that details similar to the ones tested in the study may be suitable for general use in 25 the field. A synthesis of the test and simulation data is encouraging from the perspective of adoption of PJP welded 26 splices in IMRFs and SMRFs in seismic regions. Limitations of the research are outlined, along with discussion of 27 future work to develop further support for the use of PJP welded splices in moment frames. 28

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¹ Design Engineer, Buehler and Buehler Structural Engineers, Sacramento, CA, 95811.

² Graduate Research Assistant, Department of Civil and Environmental Engineering, University of California, Davis CA 95616.

³ Associate Professor, Department of Civil and Environmental Engineering, University of California, Davis, CA 95616, Email: kanvinde@ucdavis.edu

[#] Corresponding Author

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INTRODUCTION

32 The 1994 Northridge earthquake revealed the susceptibility of Welded Beam to Column (WBC) connections to fracture. Numerous studies associated with the SAC Steel Project (SAC, 1996) such as Engelhardt and Sabol (1994) 33 34 exhaustively examined the factors responsible for these fractures and developed recommendations for new 35 construction as well as retrofit (FEMA, 2000). By and large, these studies concur that the WBC fractures may be attributed to a combination of (1) low toughness in the base and/or weld material (2) poor detailing practice; e.g., the 36 use of backing bars and weld runoff tabs, which produced flaws or cracks in highly stressed regions of the flanges, 37 and (3) connection configurations which did not account for unanticipated stress distributions, e.g. the amplification 38 39 of shear and longitudinal stress in the flanges due to inadequate participation of the web connection. Informed by 40 these investigations, subsequent design standards (e.g. AISC 341-10, 2010) mandate stringent requirements for material toughness (based on Charpy V Notch testing of base and weld material), detailing, and guidelines for 41 connection design and inspection. As a result, the fracture risk in WBC connections has been mitigated to a large 42 extent. 43

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The post-Northridge research discussed above primarily addressed WBC connections, because a vast majority of the 45 fractures during the Northridge earthquake were observed in these connections. However, the broader findings 46 47 regarding the fracture-susceptibility of details with effect of sharp flaws and brittle materials resulted in updated design requirements for other connections as well. These include column splice connections, which are commonly 48 used in moment frames due to one or more of the following reasons (1) column sections are typically transitioned to 49 account for changes in loading over the height of the building (2) the height of the building is greater than the length 50 of the available section (3) shipping constraints and erection practices limit the length of the columns. To reflect the 51 need for more stringent detailing requirements in these connections, the current edition of The Seismic Provisions 52 (AISC 341-10, 2010) prescribes the following for Intermediate and Special Moment Resisting Frames (IMRFs and 53 54 SMRFs): "Where welds are used to make the splice, they shall be complete-joint-penetration groove welds."

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Figure 1a schematically illustrates a pre-Northridge column splice connection, whereas Figure 1b indicates a post-Northridge connection designed as per the improved guidelines outlined above. Referring to Figure 1, the main difference between the pre- and post-Northridge type connections is that the post-Northridge connections

incorporate Complete Joint Penetration (CJP) welds in the flanges and the webs (to develop the flexural strength of 59 60 the column by eliminating the crack-like flaw at the Unfused Weld Root - UWR), whereas the pre-Northridge connections used Partial Joint Penetration (PJP) welds, with weld penetration (or effective throat) in the range of 40-61 60% of the flange thickness. The newer splice details with the CJP welds are significantly more expensive to 62 construct for several reasons. First, more weld material must be used, since full penetration is required; the volume 63 of weld material is nonlinearly proportional to the extent of penetration. Second, the use of additional weld material 64 requires a greater number of weld passes, requiring surface preparation and cleaning between each pass. Third, and 65 perhaps most important, complete penetration typically requires back-gouging and welding the material near the 66 67 weld root from the opposite side, such that no part of the connection remains un-fused. Alternative processes, such 68 as using a backing-bar are possible as well, although sometimes undesirable due to stability concerns. Finally, demand critical CJP welds require rigorous inspection protocols. It is especially inconvenient and costly to conduct 69 these processes since the splices are always field-welded, often several stories above the ground. 70

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Figure 1 – Column splice construction practice (a) Pre-Northridge and (b) Post-Northridge. Erection plates on web not shown for clarity

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In light of the above observations, it is also relevant to reference other aspects of the post-Northridge connections as well as recent research on other welded connections. Specifically, the current version of the *Seismic Provisions* (AISC 341-10, 2010) identifies the welds in the splices as "demand critical" welds, requiring that the weld filler metals must meet minimum toughness requirements (i.e. minimum Charpy V Notch energy of 20 ft-lb at 0°F and additionally, a CVN energy of 40 ft-lb at 70°F from heat input envelope testing). This is significantly higher as compared to the weld materials used in pre-Northridge connections. For comparison, the E70T-4 weld filler metal

87 typically used in pre-Northridge details exhibited Charpy V Notch energy values in the range of 5-10 ft-lb at 20°F (Kaufmann and Fisher, 1995). Moreover, the Seismic Provisions (AISC 341-10, 2010) also require the column 88 splice to be located either 4 feet away from the ends of the column, or at the center of the column if the story height 89 is less than 8 feet. It is considered unlikely that this location (and hence the splice) will be subjected to high inelastic 90 rotation demands, for the following reasons: (1) The Strong Column Weak Beam (SCWB) requirement encourages 91 the development of plastic hinges in the beams, under first mode response (2) The absence of transverse load on the 92 column implies that the peak moments are attained at the ends (rather than in the center) of the columns; in fact 93 94 under first mode response which dominates most low- to mid-rise buildings, the bending moment near the center of 95 the column approaches zero as the column bends in double curvature. Prior analytical research by Shen et al., (2010) indicates that the splices are not subjected to significant inelastic action, even under extreme seismic events. The 96 findings of this research are confirmed by similar simulations conducted as part of the current study (described in a 97 subsequent section of this paper). Finally, recent research on other types of connections by the lead investigator of 98 this study, e.g. Myers et al., (2009), Gomez et al. (2010) and others (Dubina and Stratan, 2002), indicates that when 99 high-toughness materials (similar to those required by post-Northridge design standards) are used, the presence of a 100 101 flaw or crack-like stress raiser (produced, for example, due to the UWR) may be tolerated without brittle fracture.

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103 When considered together, the above observations suggest that (1) inelastic deformation demands in splices may be relatively modest and (2) even if these demands are present, the use of appropriately designed PJP details may 104 successfully mitigate fracture risk. This is important, considering the expense and inconvenience of constructing 105 CJP welds in column splices. Motivated by these observations, this paper presents a series of full-scale tests on 106 column splice connections welded with PJP welds and high-toughness weld filler metals. The main objective of the 107 study is to investigate the seismic performance of these connections, and to examine their feasibility for use in 108 SMRF/IMRF structures in highly seismic environments. The paper begins with a discussion of relevant literature in 109 the area, with the objective of establishing context for the current study. This is followed by a discussion of a series 110 111 of Nonlinear Time History (NTH) simulations that were conducted to characterize the demands in column splices 112 and to develop a loading protocol for the full scale testing. The column splice tests (which feature Grade 50 base materials, and E70 weld electrodes) are then presented, along with analysis and discussion which also leverages 113

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ancillary tests conducted to establish material constitutive and toughness properties. The paper concludes with a

- discussion of fracture mechanics analysis, which examines the potential for generalization of test results.
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LITERATURE REVIEW AND OBJECTIVES

Although guidelines for the design of column splice connections are stringent and similar to other connections such 118 119 as WBC connections, research directly addressing column splice connections for seismic conditions has been relatively sparse. In fact, the only experimental study on fracture-critical welded column splices was conducted by 120 Bruneau and Mahin (1991) prior to the Northridge earthquake. Other previous studies on column splices (Popov and 121 122 Stephen, 1976; and Hayes, 1957) have examined the response of spliced columns in compression. The Bruneau and 123 Mahin (1991) study featured two column splice specimens, which connected heavy rolled sections (W14×665 connected to W14 \times 500 and W14 \times 426 connected to W14 \times 370), with flanges in the thickness range of 2.6 – 4.5 124 inches. The specimens were constructed to replicate erstwhile construction in terms of material properties, weld and 125 member sizes, residual stresses as well as detailing practice and welding procedures. Of these two specimens, one 126 featured PJP welds in the flanges with 50% penetration, whereas the other featured CJP welds with weld access 127 holes. The specimens were subjected to cyclic loading under a four-point bend configuration, such that the splice 128 region was subjected to pure flexure. As a consequence, the effect of shear was not considered. The prominent 129 130 findings of this study were that (1) the CJP welded splice exhibited excellent performance sustaining moments greater than the cross-sectional strength of the smaller connected column (2) although the PJP welded splice failed 131 in a brittle manner, it did so after the net-section strength of the connection (i.e. the strength based on the cross-132 sectional area, discounting the unfused root region) was reached. This implies that locally, the weld material had 133 sufficient toughness to allow yielding over the entire weld ligament (i.e. connected portion), even if the 134 corresponding strength was not sufficient to prevent brittle fracture of the connection when considered at the 135 component scale. 136

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The latter is an important observation in the context of the present study because weld and base materials used in the Bruneau and Mahin (1991) tests were not subject to minimum toughness requirements which were enforced after the Northridge earthquake and ensuing research. As outlined in the introduction, toughness of contemporaneously used weld filler metals (such as E70T-4) is significantly lower than what is currently required. Thus, the performance of PJP connections in the Bruneau and Mahin (1991) study indicate the possibility of successfully using toughness
 rated filler materials with PJP weld details.

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Nuttayasakul (2000) conducted fracture mechanics based finite element simulations of the Bruneau and Mahin (1991) tests, as well as additional parametric simulations of column splice details with PJP welds. The finite element study confirmed the internal stress distributions determined by Bruneau and Mahin (1991). The fracture mechanics simulations also suggest that despite the absence of a minimum specified toughness, pre-Northridge weld materials may have had sufficient toughness to develop the net-section strength of the PJP connection, if an adequate degree of effective throat thickness (> 50% of flange thickness) were provided.

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Shen et al., (2010) conducted a series of Nonlinear Time History (NTH) simulations to examine seismic demands in 152 column splices. Given the absence of similar studies prior to this, the primary aim of the Shen et al., (2010) 153 154 investigation was to develop seminal understanding of the force and deformation demands in column splices such that the margin of safety provided by current design/detailing practice could be evaluated, with a possibility of 155 lowering the stringency of detailing requirements. The NTH simulations were conducted for 4-, 9- and 20-story 156 moment frame buildings subjected to a suite of 20 ground motions representative of the Southern California region. 157 158 The simulations revealed that even under extreme ground motions (consistent with MCE or Maximum Considered Earthquake levels), the inelastic deformation demand in the splices is negligible, when interpreted at the macro-scale 159 (or cross-sectional level). However, the force demands approach the capacity of the smaller connected column. 160 Shen et al., (2010) characterized the force demands in terms of a P-M Interaction Ratio (IR), which reflects the 161 combined effect of the axial tension and bending moment, such that IR = 1 implies tensile yielding at the flange of 162 the smaller (upper) connected column. This is because owing to the UWR, splice fracture is sensitive to a peak 163 tensile stress in the flange of the connection. Consequently, the IR is an appropriate indicator of splice distress. 164 Expectedly, the demands were highest (i.e. peak $IR \approx 1.0$) for the 20-story building because of (1) higher 165 overturning moments, increasing the axial tension in the exterior columns and (2) the pronounced participation of 166 higher dynamic modes, resulting in single-curvature bending of some columns. The latter effect was dominant. For 167 168 the 4-, and 9-story frames, the force demands were significantly lower (i.e. peak IR, computed over all the motions for the 4-story frame was in the range 0.35-0.8, whereas for the 9-story frame it was in the range of 0.5-0.9). 169

A synthesis of these three studies on column splices, along with other research (e.g. Myers *et al.*, 2009) that focused on the deformation capacity of other PJP welded connections (i.e. column base plates), yields the following observations –

173 1. The testing by Bruneau and Mahin (1991) and complementary FE simulations by Nuttayasakul (2000) suggest 174 that even without the enforcement of current toughness requirements, pre-Northridge type PJP welds offered 175 sufficient toughness to develop the net-section strength of the welded flanges, provided sufficient weld 176 penetration was provided.

- While column splices may be subjected to high force demands (approaching the capacity of the smaller
 connected column), the inelastic deformation demands are minimal or absent.
- Other types of connections that incorporate PJP welds (such as base plate connections featuring notch tough
 material compliant with the *Seismic Provisions*), tested by Myers *et al.*, (2009) and more recently Gomez *et al.*,
- 181 (2010) show excellent performance with the capacity to fully develop the column flanges in yielding.
- 182 Based on these observations, the specific objectives of the study presented in this paper are –
- To experimentally examine the performance of various PJP-welded column splices under a test protocol
 representative of seismic loading.
- To conduct a program of ancillary material tests and fracture mechanics analysis to examine the feasibility of
 these connections in steel moment frame construction in seismic regions.
- 187 The next section describes the Nonlinear Time History simulations conducted for assessment of demands in the 188 splices and the loading protocol developed from these simulations.
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NONLINEAR TIME HISTORY SIMULATION, DEMAND CHARACTERIZATION AND DEVELOPMENT OF LOADING PROTOCOL

An understanding of seismic demands in column splices in moment frames is critical for two reasons. First, it provides context for evaluating the vulnerability of splices that may be constructed using PJP welds. Second, and perhaps more important to this study, an analysis of the demands enables the development of a loading protocol for application to the full-scale splice specimens described in the next section. The development of such a protocol is necessary, because existing protocols for SMRF components (Gupta and Krawinkler, 1999) address seismic demands only in deformation-controlled components (such as beam-to-column connections). Column splices in SMRFs are primarily load (i.e. force and moment) controlled, because inelastic deformations are not expected at the

component level, albeit local yielding in the weld region is possible. Protocols for these types of components 200201 (specifically splices) are not available; nor is it appropriate to adapt protocols developed for deformation controlled components. Consequently, the large scale testing requires the development of loading histories that represent 202 203 seismic demands at the splice in a reasonable, yet conservative manner. A comprehensive program of Nonlinear 204 Time History (NTH) simulations was conducted, with the specific objective of assessing splice demands in the context of developing a loading protocol. The NTH simulations conducted in this study are targeted specifically 205 towards the development of loading protocols. It is relevant to discuss here that previous NTH simulations targeted 206 towards the development of loading protocols (e.g. refer Gupta and Krawinkler, 1999) have employed ground 207 208 motions that are scaled such that they represent a target probability of exceedance, e.g. 10% in 50 years (i.e. a 10/50 209 hazard). Figure 2 indicates the buildings used for the NTH simulations used in this study, whereas subsequent discussion addresses the NTH simulation and protocol development. 210



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Three generic frames (4-, 9- and 20- story) were used – see Figure 2. These are identical to the frames used by
 Shen *et al.*, (2010), and are adapted from the SAC model buildings (Gupta and Krawinkler, 1999), with the
 exception that the 3-story SAC model building was replaced by a 4-story building to accommodate the splice
 (which is uncommon for shorter buildings). The frames have fundamental periods of 0.93, 1.75 and 2.33
 seconds respectively. The frames were assumed to be constructed for a seismic environment (and typical gravity

Figure 2 – Schematic illustration of the three model buildings with arrows indicating spliced stories

loading) consistent with the Los Angeles, California region with assuming firm soil conditions (NEHRP site
 class D). Refer Shaw (2013) for more details regarding the building designs, Figure 2 shows the frames,
 including the locations of the splices (located 4 feet from the top surface of the beam in the lower story).

Each frame was subjected to a suite of 20 ground motions. These motions, developed during the SAC steel
 project (Somerville *et al.*, 1997) are titled LA21-LA40, and are based on recordings from the 1994 Northridge,
 1995 Kobe, 1989 Loma Prieta and the 1974 Tabas earthquake, in addition to simulated motions. The ground
 motions were scaled to match two spectra, consistent with the 10/50 and 2/50 hazard (as per ASCE 7-10) at a
 general location in the Los Angeles basin. Thus, a total of 40 motions (20 × 2 scaling levels) were used.

3. The simulations were conducted on the platform OpenSEES (2009) which has the capability to simulate several
 physical aspects of response. The specific modeling considerations included –

- The use of fiber sections for simulation of the beams and columns to represent axial-moment interaction and the spread of plasticity. The fiber sections utilized a bilinear steel material model with kinematic hardening. Material parameters were calibrated to match a comprehensive data set of plastic hinge response compiled previously by Lignos *et al.*, (2011). The calibrated values of the parameters are E = 29,000ksi, $F_y = 55ksi$ (to account for material overstrength with respect to specified strength) and the post-yield (i.e. hardening) slope 1.7% of the initial elastic modulus.
- Finite joint sizes were modeled. This is especially important since flexural demands at the splice are sensitive to its distance from the end of the column (at the beam face).
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• Geometric nonlinearity, i.e. $P - \delta$ and $P - \Delta$ effects were modeled.

Several variables were monitored during the NTH simulations. While the interstory drift and inelastic rotations are 248 249 of interest, the time histories of longitudinal stress at the locations of the splices (specifically in the flange regions) 250 are determined to be the most critical in the context of this study. This is because the primary concern with respect 251 to the PJP welded splices is fracture at the UWR (see Figure 1 introduced previously). This type of fracture may be considered stress-controlled, since the inelastic deformation (at the splice component level) is modest or negligible. 252 Since both bending and axial force (due to overturning effects) contribute to the longitudinal stress, each flange 253 within each splice is subjected to a different stress history. Recognizing this, the time history of the longitudinal 254 stresses at the extreme fiber of the splices (in the smaller connected column) was monitored for each flange within 255

- each splice, for each of the NTH runs. The Interaction Ratio (*IR*; as defined in the Notations section) is a convenient
- indicator of the stress in the flange, normalized by the yield strength of the flange material, such that IR = 1.0
- implies tension yielding at the extreme fiber of the cross section. Table 1 provides an overview of the results of the
- 259 NTH simulations for the three frames.
- **Table 1 Summary of results from Nonlinear Time History Simulations**

Frame	Ground motions scaled to 10/50 hazard				Ground motions scaled to 2/50 hazard			
	$IR_{peak}^{mediana}$	IR ^{max b}	$\Delta^{median\mathrm{a}}_{peak}$	$\Delta_{peak}^{max b}$	IR_{peak}^{median}	IR_{peak}^{max}	Δ_{peak}^{median}	Δ_{peak}^{max}
4-story	0.16	$0.30(3E^{c})$	1.1%	2.9% (2 ^d)	0.30	$0.54(3E^{c})$	2.4%	6.1% (2 ^d)
9-story	0.11	$0.30(2E^{c})$	0.8%	$1.6\% (3^d)$	0.23	$0.72(2I^{c})$	2.0%	5.4% (4 ^d)
20-story	0.18	$0.72 (5E^{c})$	0.6%	$1.5\%(16^{d})$	0.22	$0.95(5E^{c})$	1.1%	$2.5\%(2^{d})$

^aThe median value (calculated from 20 ground motions), based on the peak tension Interaction Ratio *IR* or Interstory Drift ratio Δ observed in each ground motion.

^b The maximum value (calculated from 20 ground motions), based on the peak tension Interaction Ratio *IR* or

264 Interstory Drift ratio Δ observed in each ground motion.

^cValue in parentheses indicates location of occurrence of IR_{peak}^{max} , e.g. "3E" indicates 3rd story Exterior column while "2I" indicated the 2nd story Interior column.

^dValue in parentheses indicates location of occurrence of Δ_{peak}^{max} , e.g. "4" indicates 4th story

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The Table includes the maximum value and the median value of IR_{peak} determined from 20 NTH simulations (for 269 each of the scaling levels). The IR_{peak} value presented in the table reflects the combination of axial force and 270 moment that produces the peak tensile stress in any of the splice flanges. The corresponding flange is considered the 271 critical flange for that NTH run. Corresponding statistics are also presented for the peak interstory drift, i.e. Δ_{neak} 272 (observed in any of the stories within a NTH run). Referring to the Table, the following observations may be made 273 regarding frame and splice response -274 1. For the four-story frame, the interaction ratios are fairly modest, i.e. IR_{peak}^{max} for the 10/50 and 2/50 motions are 275 0.30 and 0.54 respectively. This suggests that for low rise frames, the tensile stress in the flanges is well below 276 the yield stress. This is consistent with intuition because (1) the response of the 4-story frame is dominated by 277 the first mode resulting in points of inflection near the center of the columns; thereby lowering the moment at 278 279 the splice and (2) the effects of overturning moment, and the associated axial tension are modest as well.

280 2. For the 9-story frame, the IR_{peak}^{max} for the 0.30 and 0.72 for the 10/50 and 2/50 motions respectively. These are

somewhat larger as compared to the corresponding values for the 4-story frames, presumably because both the

effects described above, i.e. mode of deformation as well as overturning moments are more prominent.

283 However, even these are significantly lower as compared to the capacity of the smaller connected column.

3. The splices in the 20-story frame are subjected to demands that are by far the most severe. For this frame, IR_{peak}^{max} for the 10/50 and 2/50 are 0.72 and 0.95 respectively, indicating that demands approach the capacity of the smaller connected column (for the 2/50 hazard), due to a combination of higher-mode response, overturning effects and the larger dynamic forces.

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Referring to Table 1, for all the frames, the interstory drift ratios are in the anticipated range. While the peak tensile 289 stress (implied by IR_{peak}) is an important parameter with respect to splice fracture, it is not appropriate to entirely 290 disregard history effects in the development of the loading protocol, since the material at the tip the of UWR is also 291 subject to local inelastic cyclic strain. To address this, a rigorous approach was adopted following the methodology 292 originally developed for moment frame connections by Gupta and Krawinkler (1999), subsequently adapted by 293 Richards and Uang (2006) and more recently Fell et al. (2009) for other components. Figure 3 schematically 294 illustrates the loading protocol developed during this study for application to splice specimens. A detailed 295 description of protocol development is provided in Shaw (2013), whereas the main features are briefly summarized 296 below -297

The primary objective of the loading protocol is to subject the PJP welds in the splice tests to stresses (including
 stress peaks and stress histories) that represent conservative as well as realistic demands consistent with specific
 seismic hazards.

2. The protocol is constructed in terms of the ratio $M_{splice}/M_p^{smaller-section}$, where M_{splice} is the applied (or "demand") moment and $M_p^{smaller-section}$ is the plastic moment capacity about the major axis of the smaller column section, including the effect of material overstrength, i.e. $M_p^{smaller-section} = R_y F_y Z_x$. Although the stresses in the splices (in archetype frames and in the NTH simulations) are a result of axial force and moments, the test apparatus (discussed in the next section) can apply only bending loads. The loading protocol was developed such that the longitudinal stresses in the flange generated in the bending-only configuration are consistent with those implied by the NTH simulations, which are a combination of axial and bending stresses.

Careful consideration was given to stress-history effects. For this purpose, the following steps were carried out:
 (1) each stress history was converted into equivalent constant amplitude cycles using the Rainflow Counting
 method (Matsuishi and Endo, 1968) (2) based on these equivalent cycles, a statistical analysis of the important
 history parameters (e.g. the peak interaction ratio, the number of damaging cycles, and cumulative stress

amplitudes) was conducted with respect to the response data from the different ground motions (3) at this point, the protocol was heuristically constructed to match or exceed specific statistical indicators (percentile values) of these history parameters. As discussed previously, Shaw (2013) provides a detailed description of these history parameters, the rationale underlying their selection, and their use in the development of the protocol.

4. Referring to Figure 3, the loading protocol indicates several checkpoints marked by text on the loading history. 316 For example, one of the points is identified as " 9_{max} ". The implication is that at this instant in the protocol, all 317 the history indicators (indicative of damage) have been exceeded with a 100% probability in the critical flange 318 of the 9-story frame, for all ground motions scaled to the 2/50 hazard. Note that this is more conservative than 319 320 the benchmark established by Gupta and Krawinkler (1999) for qualification for welded-beam-to-column connections (which utilized 86 percentile values from the 10/50 motions). The implication of this is that if a test 321 specimen survives a particular checkpoint on the protocol, it suggests that the connection is a candidate for 322 qualification under demands implied by that checkpoint. By extension, survival through the entire protocol 323 suggests that the splice detail may withstand demands consistent with those in 4-, 9- and 20- story buildings. 324



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SPLICE COMPONENT TESTS – SPECIMEN FABRICATION, ANCILLARY TESTING AND EXPERIMENTAL RESPONSE

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This section provides a detailed overview of the splice component tests, including the test setup, instrumentation, specimen fabrication, and finally, the test results. Table 2 includes the test matrix summarizing key features of the five tests that were conducted. Also included in Table 2 are some of the test results, discussed later. Referring to the

Table, the column sizes used in these experiments are consistent with those commonly used in design practice. For

example, Specimen #14A features one of the heaviest available W-sections (W14×730 connected to a W14×550).

348 The tests may therefore be considered "full-scale."

349 Table 2 – Test Matrix and summary of key results

Test		Spe	cimen details	Results ^⁴			
	Column Sizes	ColumnWeldRemarks ³ SizesPen		$\frac{M_{splice}^{max}}{M_{p}^{smaller-section}}$	$\frac{V_{splice}^{max}}{V_{y}^{smaller-section}}$	$\frac{\sigma_{flange}^{max}}{F_y^{flange}}$	$rac{\delta_{midspan}}{\delta_y}$
24A	W24×370 W24×279	82% F ¹ 87% W	Single external bevel, no access hole	1.13	0.85	1.31	4.8
24B	W24×370 W24×279	82% F 87% W	Single external bevel, no access hole	1.19	0.89	1.33	5.8
14A	W14×730 W14×550	82% F 87% W	Double beveled with access hole	1.37	0.93	1.34	16.1
14B	W14×455 W14×342	55%+ 40% ² F 84% W	Double beveled with no access hole, internal flange weld terminated short of web fillet	1.24	0.86	1.34	5.0
14C	W14×145 W14×132	89% F 0% W	Single external bevel, no access hole, bolted web plate	1.04	0.72	1.43	2.0

¹Flange and web welds denoted F and W respectively.

²55% External flange weld, with 40% Internal flange weld terminated short of web fillet (see Figure 5c).

³All details are shown schematically in Figure 5.

- ⁴All referenced material properties are measured (see Table 3), rather than specified.
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356 Specimen Construction Process

357 Since the performance of the PJP splices is sensitive to the execution of the weld details, it is especially important

that the welds in the test specimens are representative of field welds. To ensure this, specimen fabrication and

359 erection, including weld procedures, closely followed the processes and practices consistent with field

- 360 implementation. The following process was implemented –
- 1. Steel column sections were procured from an AISC certified fabricator and erector. Mill certificates
- 362 summarizing material yield, ultimate, and toughness properties were provided along with these sections. Data
- 363 from these mill certificates is provided in Table 3.

364	2.	The sections were shipped to a fabricator where the connection details were prepared; this included surface
365		preparation for the weld bevels and the fabrication of erection plates.

366 3. The site-ready sub-assemblies were shipped to a steel erector where column sections were welded in a vertical
 367 position in an effort to minimize variance from field conditions.

These types of groove welds are not currently allowed in seismic force resisting systems. As a result, a new
 Welding Procedure Specification (WPS) was created for these welds. While details of the WPS are available in
 Shaw (2013), the main parameters of the WPS were as follows –

- FCAW-S welding with E70T-6 electrode (Lincoln NR-305); 3/32 inch diameter.
 - Deposit rate (travel speed) = 12-10 inches/minute.
- Minimum preheat temperature 350°F (note that this is conservatively in excess of the 225°F minimum required by AWS D1.1, 2004, owing to the jumbo sections being welded); interpass temperature between 350 – 500°F.
- Current 430-470 Amps, Voltage 25-26V.

5. A Procedure Qualification Record (PQR) was created to support the Welding Procedure. Data from the PQR testing (on a mockup assembly constructed to represent the splice welds) is provided in Table 3, along with similar data for the base metals (obtained from the mill certificates).

6. Upon completion, all the welds were inspected visually and with ultrasonic testing by independent inspectors. During this process, a crack was discovered at the root pass of Specimen #14C. The deficient weld was removed and re-welded. Subsequent inspection of the repaired weld revealed no cracks.

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The above aspects are common to all specimens; specific weld details are discussed in the subsection on experimental response.

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392 Ancillary Testing for characterization of material toughness and constitutive properties

- ³⁹³ The large scale tests were complemented by a comprehensive program of ancillary tests, summarized in Table 3.
- Table 3 also summarizes the permissible values for each quantity measured in the ancillary tests.

Material source		F_y^2	F_u^2	F_y/F_u^2	Elongation²	CVN ² at	CVN ² at	CVN ² at
	\downarrow	(ksi)	(ksi)		(%)	0 °F	70 °F	70 °F
						ft-lb	ft-lb	ft-lb
Applicable requirement $^{1}\rightarrow$		50-65	≥65 ksi	≤0.85	≥21%	≥ 20 ft-lb		≥20 ft-lb
		ksi						
Base metal	W24X370	55.1	70.3	0.78	34			149
Test 24A	W24X279	56.8	71.7	0.79	39			200
Base metal	W24X370	54.5	70.2	0.78	38			149
Test 24B W24X279		56.9	71.9	0.79	33			200
Base metal	W14X730	56.2	71.0	0.79	34	Not applicable		292
Test 14A	W14X550	53.8	70.5	0.76	38			227
Base metal	W14X455	57.0	73.9	0.77	36			297
Test 14B	W14X342	52.8	71.3	0.74	28			104
Base metal	W14X145	75.4^{4}	87.2	0.86^{3}	21			Not
Test 14C	W14X132	54.2	77.7	0.70	31			applicable ⁴
Weld PQR		All-weld coupons were not tested for tensile			52	Not tested	Not	
	W14B post	prop	erties, only	toughness	tests were	Not tested	50	Applicable
	test		COI	nducted				

395	Table 3 – Material tensile and toughness data from ancillar	y testing
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¹Based on AISC 341-10 (2010).

 397 ²Average data from 3 coupon tests.

 3 Does not meet applicable standard.

⁴Base material toughness requirements are only applicable to heavy sections, both W14×145 and W14×132 are not

400 categorized as "heavy" as per *The Steel Construction Manual* (AISC 360-11, 2011).

401

402 Referring to the Table, the program comprised the following types of tests:

403 1. Tension tests: A total of 30 coupons (3 replicates from the smaller column and larger column section of each of

404 the five large scale test specimens). The main purpose of these tests was to establish yield and ultimate

405 properties for the base materials. Referring to Table 3, the yield, ultimate and elongation properties are mostly

within the permissible range, with the exception of the material for $W14 \times 145$, for which the strengths are higher

407 than the maximum allowable. However, note that W14×145 is the larger column within Specimen 14C, whereas

408 the test protocol and benchmark performance is expressed in terms of the strength of the smaller column.

409 2. Charpy V Notch tests: Tests were conducted on coupons extracted from the base as well as weld material. For

410 the base material (heavy sections), AISC 341-10 (2010) requires a minimum CVN toughness of 20 ft-lb at 70°F

- 411 for specimens extracted from the core of the cross section. For demand-critical welds, the minimum
- 412 requirement is 20 ft-lb at 0°F. In addition, a value of 40 ft-lb at 70°F from heat input envelope testing is also
- required for the weld filler metal (i.e. to qualify the electrode). To support the weld procedure specifications for

the current testing program, and to provide insight into the response of the fabricated connections, the following
 supplementary data was obtained –

- a. CVN coupons from the PQR (Procedure Qualification Record) weld assembly: This involved the
 testing of CVN coupons at 0°F, to demonstrate that the joint could meet the specific standard (i.e.
 AISC 341-10), i.e. exhibit a CVN energy of 20 ft-lb at this temperature. Referring to Table 3, a value
 of 52 ft-lb (well in excess of 20 ft-lb) was obtained.
- b. CVN coupons from the compression flange of Test Specimen 14B: Additional CVN coupons were extracted from the compression (un-fractured) flange of one of the full-scale test specimens after the completion of the test; these were tested at 70°F. The toughness data from these tests does not reflect the intent of the standard (AISC 341-10, 2010) given that the compression flange is subjected to several inelastic cycles before the CVN extraction and testing. However, these tests provide an indication of the toughness of the as-deposited weld at room (or test) temperature. The average CVN value (from 3 coupons) was 50 ft-lb.

In addition to establishing compliance with applicable standards, the ancillary tests serve the following purposes (1) they enable the interpretation of full-scale data with respect to measured, rather than specified material properties, and (2) they enable the calibration of material constitutive and fracture toughness properties in Finite Element simulations (discussed in a subsequent section).

431

432 Test Setup and Instrumentation

Figure 4 schematically illustrates the test setup used for testing. Several factors controlled the design of the setup; these included limitations in the size, configuration and capacity of the testing machine as well as the necessity to provide loading and boundary conditions that reflected field conditions with realism. The main features of the test setup are now summarized with a discussion of some of the factors that controlled these features –

- 437 1. The specimens were all tested as beams in three-point bending, with a load applied at midspan. The splice is
 438 located at a distance of 18 inches from midspan, such that it is subjected to a combination of flexure and shear.
- 439 2. The testing machine applies load only in one (i.e. the downward direction). Cyclic loading was applied by 440 rotating (i.e. flipping) the specimen about its longitudinal axis after every loading excursion implied by the 441 loading protocol shown previously in Figure 3. The loading apparatus cannot apply axial tension. However, as

discussed previously, the loading protocol is developed such that flange stresses are consistent with those
 produced due to a combination of axial tension and bending in building columns.



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All specimens were loaded in an identical manner, i.e. cyclic loading was applied at midspan as per the loading 457 458 protocol, until either failure was observed or the machine capacity was exceeded (this happened only in the case of Specimen #14A). Note that the values in the loading protocol are the moments at the splice location normalized by 459 the expected strength (i.e. $M_p^{smaller-section} = R_y F_y Z_x$) of the specimen; these were converted to an equivalent 460 midspan load for testing. The specimens were extensively instrumented. The primary control variable was the 461 midspan force, and the associated splice moment. The midspan and splice deflections were also monitored. Strain 462 gages were placed at multiple locations, including the flanges of the splices and the webs. The purpose of the web 463 strain gages (rosettes) was to examine the distribution of shear between the web and the flanges. These are 464 especially relevant for the bolted web connection (Specimen #14C), in which the load path for the shear force is not 465 as rigid as for the other (welded web) specimens. Secondary instrumentation was installed to monitor unanticipated 466 response such as out of plane buckling. However, this type of response was not observed for any of the tests. The 467 468 instrumentation was complemented by still and video cameras. Being supported by the Network for Earthquake

Engineering Simulation (NEES), all the data from the project is freely available for download from the NEES datarepository.

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472 Test Matrix

473 Referring to the test matrix shown previously in Table 2, five specimens were tested. The main consideration in the selection of the section sizes was realism, such that these sections are of a comparable scale to those typically 474 specified in moment frames. Testing archetype-scale components is especially important in the context of weld 475 fracture because (1) scale-effects in fracture (Bažant, 1984; Anderson, 1995) are well known, wherein fracture 476 477 mechanics must be invoked, often with some subjectivity, to generalize test results (2) the thermo-mechanical 478 process of heat-transfer, cooling and phase change that occur during welding affect weld toughness, and may be scale dependent, especially if multi-pass welds are used, and finally (3) the residual stress patterns in large 479 specimens are likely to be different than those developed in small scale specimens due to the constraint to shrinkage 480 provided by the larger sections. All details were designed in consultation with the steel fabricator, erector as well as 481 AISC, to provide an efficient means of obtaining the desired level of weld penetration representative of future 482 practice (if, based on this study, PJP welds are determined to be suitable for column splices). Following this (and 483 referring to Table 2), the highlights of the test matrix are as follows -484

485 1. Tests #24A, 24B: Two replicate specimens featuring W24 columns (specifically W24×279 attached to $W24\times370$) were tested. The size of these sections is representative of usage in 15-20 story moment frame 486 buildings. Figure 5a schematically illustrates the splice detail for these specimens. Referring to the Figure, the 487 flanges (2.09 inches for the $W24 \times 279$ and 2.72 inches for the $W24 \times 370$) were connected with one PJP weld on 488 the outside of the flange – equivalent to 82% penetration with respect to the smaller (W24 \times 279) flange. Since 489 only an external weld with a single bevel was used, a weld access hole was not provided in the web. The web 490 featured a single beveled PJP weld with 87% with respect to the thinner (W24×279) web. A bolted erection 491 492 plate, sized for erection loads was provided as also indicated in Figure 5a.

Test #14A: This specimen was fabricated from a W14×730 column connected to a W14×550; which are two of
 the heaviest available W-sections. In fact, the flange sizes are 4.91 and 3.82 inches for the larger and smaller
 columns respectively, requiring the largest possible weld in a column splice for W-sections. Figure 5b
 schematically illustrates the splice detail for this specimen. Referring to the Figure, the flanges were double

beveled, i.e. welds were provided on the inside and outside of the flanges. The total connected penetration was
82% with respect to the smaller (W14×550) flange. A weld access hole (in compliance with AWS D1.8, 2009)
was provided in the web. The web featured a single sided PJP weld with 87% penetration. Similar to the W24
specimens, a bolted erection plate was provided. This plate was ground to follow the contour of the weld access
hole.

3. Test #14B: This specimen was fabricated from A W14 \times 455 column connected to A W14 \times 342; which have 502 flange sizes 3.21 and 2.47 inches for the larger and smaller columns respectively. Referring to Figure 5c, the 503 flange of the smaller column was double beveled, similar to Specimen 14A. The external weld penetration was 504 505 55%, whereas the internal weld penetration was 40% (with respect to the thinner flange). However, the internal bevel (and weld) was stopped short of the web fillet. Thus, the detail was designed to examine the performance 506 of a cost-effective connection that did not require a weld-access hole. From a fracture mechanics perspective, 507 this absence of the continuous weld on the inside of the flange generates a large unfused area in the k-region of 508 the columns. An erection plate was provided, similar to the W24 specimens. 509

Test #14C: This specimen features the smallest specimens, i.e. a W14×145 connected to a W14×132. These 510 4. member sizes are representative of those typically used in low-mid rise frames (i.e. 2-5 stories tall), which 511 constitute a large percentage of the building stock. The flange of the smaller column was provided with a single, 512 513 external bevel and a PJP weld with 89% penetration. No weld access hole was provided. The distinguishing characteristic of this specimen was that the webs of the columns were not welded; rather a bolted web-splice 514 plate was provided for shear transfer between the webs. Post-Northridge research (FEMA, 2000) indicated that 515 bolted webs are inefficient in transferring shear, producing secondary bending in the flanges, increasing the 516 susceptibility to premature fracture. However, referring to previous discussion, Table 1, and the loading 517 protocol shown in Figure 3, recall that the force demands for low-mid rise frames are rather modest, with 518 $IR_{neak}^{max} < 0.5$. With this consideration, Specimen 14C was designed in this manner to explore the possibility of 519 an economical connection for low-mid rise frames. The web plate was designed to develop the full shear 520 capacity of the web, and the bolt pattern was determined assuming an eccentrically loaded bolted connection 521 (Shaw, 2013). Figure 5d illustrates this detail schematically. 522



Figure 5 – Splice connection details

For all the specimens, a smooth transition was obtained between the thicker and the thinner flange (indicated on Figure 5a, but representative of all the specimens), in compliance with AWS D1.8 (2009). This has two implications (1) the flared shape of the weld provides reinforcement at the section of the UWR (2) no sharp discontinuities or reentrant corners, other than the UWR itself, are present in the detail. The next section describes the qualitative and quantitative results from the splice experiments.

529

530 Experimental observations

Table 2 introduced previously summarizes results from all the tests. All the specimens survived the cyclic portion of 531 532 the loading history (shown in Figure 3), and all (with the exception of Specimen #14A, which did not fail since 533 machine capacity was reached) failed during the final monotonic push. This implies that all specimens exhibited excellent performance when assessed in the context of demands imposed by the loading protocol. Figures 6 a-d 534 illustrate the load-deformation response of all the splice connections. The loads indicated on Figure 6 are expressed 535 in terms of the moment at the splice (normalized by the plastic moment, based on measured material properties) 536 versus the midspan deflection. The primary indicators of performance are (1) the peak moment at the splice; this was 537 always observed during the final cycle (2) estimated stress in the flange at the splice (3) peak shear at the splice. 538 These quantities are summarized in Table 2. Other indicators of performance, such as the total deformation of the 539 540 column provide a general, qualitative understanding of specimen response. The following discussion, which outlines the test data from each of these splices individually, is based on these results presented in Table 2 and Figure 6. 541

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Figure 6 – Load displacement curves for full-scale tests (a) W24A&B (b) W14A (c) W14B (d) W14C

Response of Specimens 24A and 24B: Referring to Figure 6a, both these specimens exhibited virtually identical 568 response. The initial cycles produced no observable signs of distress. However, during the cycles with amplitude 569 $0.95M_p$, minor flaking of the mill scale was observed in the vicinity of the splice, indicating the onset of yielding. 570 During the final, monotonic push, widespread yielding was observed in the splice region, as well as the surrounding 571 572 areas, including in both the smaller and the larger column sections. The test was concluded when fracture was suddenly observed at a force corresponding to the development of the splice moment $M_{splice} = 1.13 \times$ 573 $M_p^{smaller-section}$ for Specimen 24A and $M_{splice} = 1.19 \times M_p^{smaller-section}$ for Specimen 24B. The fracture initiated 574 at the tip of the UWR and the surface was coincident with the Heat Affected Zone (HAZ). Based on an inverse 575 section analysis (using the measured stress-strain data from the materials), weld stress at the instant of fracture was 576 estimated to be $\sigma_{flange} \approx 1.32 \times F_y^{flange}$ (where F_y^{flange} is the measured yield stress of the particular section – See 577 Table 3). This suggests that the weld was fully yielded at the PJP section, and that the net section strength of this 578 section was achieved. Also at this instant, the shear force in the splice was $V_{splice} = 0.85 \times V_y^{smaller-section}$, where 579

 $V_y^{smaller-section} = 0.6 \times F_y \times A_{web}^{smaller-section}$. The fracture completely severed the tension flange and propagated up through the PJP weld in the web, severing most of the web. Several bolts in the erection plate fractured as the crack propagated through the web weld. Figures 7a and b show photographs of both the tests taken after fracture.

Response of Specimen 14A: Similar to the Specimen 24A and 24B, the initial loading cycles produced no observable 584 signs of distress in the specimen. However, during the cycles with amplitude $0.95M_p$, minor flaking of the mill scale 585 was observed in the vicinity of the splice, indicating the onset of yielding. During the final push, large scale yielding 586 was observed in the splice, accompanied by widespread flaking of mill scale and the formation of visible slip bands. 587 Figure 6b shows the load-deformation response, whereas Figure 7c shows a photograph of the specimen after the 588 conclusion of the experiment. Referring to the Figure, fracture propagation was not observed for this experiment, 589 590 which had to be concluded owing to safety concerns, wherein the applied load approached the capacity of the laboratory strong floor. Shown in Figure 7d is a close up view of the unfused weld root. Small cracks 591 (approximately 0.5 inches long) initiated at both tips. The degree of inelastic deformation in this specimen is 592 striking. At the conclusion of the test, the estimated weld stresses are $\sigma_{flange} \approx 1.34 \times F_y^{flange}$. At this time, the 593 moment in the splice was $M_{splice} = 1.37 \times M_p^{smaller-section}$, whereas the shear in the splice was $V_{splice} = 0.93 \times M_p^{smaller-section}$ 594 $V_{v}^{smaller-section}$. 595

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597 Response of Specimen 14B: Similar to the other specimens, the initial loading cycles produced no observable signs of distress in the specimen. During the final push, large scale yielding was observed in the splice, accompanied by 598 widespread flaking of mill scale and the formation of visible slip bands. Figure 6c shows the load-deformation 599 curve, while Figure 7e shows a photograph of the specimen after the conclusion of the experiment. Qualitatively, the 600 response of the specimen was similar to that of Specimen 14A, except that fracture was observed during the final 601 push, when the moment in the splice was $M_{splice} = 1.24 \times M_p^{smaller-section}$, whereas shear in the splice was 602 $V_{splice} = 0.86 \times V_{v}^{smaller-section}$. The estimated weld stresses (based on strain gage data) are $\sigma_{flange} \approx 1.34 \times V_{v}^{smaller-section}$. 603 F_v^{flange} . 604

Response of Specimen 14C: Specimen 14C featured a bolted web plate, with no weld connection between the webs. 606 607 Figures 6d and 7f show the load-deformation curve and post-test photograph respectively. The response of this specimen was somewhat different than the other specimens, primarily in that yielding was observed at lower 608 moments; in fact, some yielding was observed even in the cycles corresponding to $0.75M_p$. This may be attributed 609 to the absence of full stress transfer through the web, in the tension region such that development of full moment 610 capacity is not theoretically possible. However, fracture was not observed until the final push - Figure 7f shows a 611 post-test photograph. At the time of fracture, the moment in the splice was $M_{splice} = 1.04 \times M_p^{smaller-section}$, 612 whereas the shear in the splice was $V_{splice} = 0.72 \times V_y^{smaller-section}$. Thus, even when judged relative to the entire 613 loading protocol, the connection exhibited excellent performance. It is important to recall here that this detail, with 614 the bolted web splice (and associated section sizes) are targeted towards low-rise structures, where the demands are 615 quite low - refer, for example Table 1. When evaluated in this context, the response of the specimen is even more 616 impressive. The strain rosette attached to the web splice plate recorded negligible shear strain. When combined with 617 618 the observation that negligible shear deformation was noted in the flanges, this suggests that the shear was predominantly transferred through friction in the bearing portion of the sections, which provides the most rigid load 619 path for the shear. This bearing zone develops as a result of the flexure in the cross section. Two points may be 620 made based on this observation (1) Adequate friction was likely generated even in the absence of net axial 621 compression, which is typically present in low-rise buildings, wherein overturning moments are low (2) Unlike 622 moment connections, in which the shear tab separates the web of the beam from the flange of the column, friction 623 may be a reliable mechanism of shear transfer in column splices where the sections are in direct contact. In 624 625 summary, it appears that other than the loss of some flexural capacity (due to the unavailability of the web in the tension region), the absence of a welded web splice does not compromise the effectiveness of the connection in any 626 significant way. 627 628

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W14A Overview









662 Analysis and Discussion

663 The preceding section provides specific discussion of individual specimen response. Based on this discussion,
664 several general observations are now presented to evaluate the suitability of these types of connections in IMRF and
665 SMRF structures in highly seismic regions. The main observations are –

- All specimens survived the cyclic portion of the protocol, and all (with the exception of 14A) fractured on
 the final push. Recall that the protocol (if applied through completion) represents peak expected demands
 in 20 story buildings subjected to 2/50 ground motions. In this context, all the specimens (as tested in the
 lab) may be considered suitable candidates for application in such buildings.
- In addition to exceeding the demands implied by the protocol, all the specimens also show significant
 inelastic deformation capacity. Referring to Table 2, the displacements (recorded at the midspan of the
 specimen) were several times yield displacement. Referring to previous discussion on demands, recall that
 column splices are mainly "force-controlled" components, with little expectation of inelastic action.
- 3. All the splice specimens were subjected to intense shear at the time of fracture. The shear demands in the these splices were in the range of $0.72V_y^{smaller-section} - 0.93V_y^{smaller-section}$. This is significantly higher than may be expected in archetype buildings, wherein high moments at the splice location (which is typically near the center of the column) are accompanied by low shear since this type of response is associated with single curvature bending of the column associated with higher mode response. Recall that the test setup utilized by Bruneau and Mahin (1991) did not apply shear to the splices.
- 4. Two of the specimens featured somewhat innovative details. These are (1) Specimen 14B which did not have a weld access hole, despite the presence of a weld on the inside of the web, and (2) Specimen 14C
 which did not feature a welded web splice. Both these details exhibited excellent performance.

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The above observations indicate that the as-tested details are suitable for resisting seismic demands in moment frames. However, additional analysis needs to be conducted to generalize the test results to evaluate the possibility of their implementation in field details. For example, while the observed performance exceeded anticipated demands, the material toughness properties (specifically the weld properties, see Table 3) also exceeded the minimum required. Thus, extrapolation of the test results to field details (for which only minimum toughness may be relied upon, but which also will have reduced demands relative to the test splices) cannot be conducted without fracture mechanics analysis. The next section presents such an analysis.

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FRACTURE MECHANICS ANALYSIS OF SPLICE CONNECTIONS

The primary purpose of the fracture mechanics simulations presented in this section is to provide support for generalization of the experimental findings. The following points define the scope and intent of the simulations –

1. The main objective of the simulations is to examine the fracture toughness demands (represented by a stress intensity factor K_I), and its relationship to applied stresses in the column flange. This relationship may be used to evaluate the suitability of current material toughness requirements for details similar to the ones tested in the study.

2. The simulations did not examine details distinct (in terms of shapes welded, extent of penetration or other 699 features) from the ones tested in this study. This is because (1) in terms of configuration, it is anticipated that 700 the test specimens represent key geometric aspects of PJP-welded splices, which may be considered for use in 701 the future (2) the test splices are fairly large, and fracture mechanics results based on larger specimens are in 702 general conservative when applied to geometrically similar details that are smaller (Anderson, 1995; Bazant, 703 1984). Thus while not precise, a simulation of the test specimens provides a reasonable basis for extrapolation 704 to similar details that are physically smaller in size, and (3) A full parametric study examining all possible types 705 of splice details and sizes is prohibitively expensive. 706

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Fracture mechanics simulations were conducted for the flange regions of four specimens, i.e. W14A, W24A & B (identical simulation, given that the specimens are nominally identical), and W14C. The specimen W14B was not simulated, since the termination of the internal weld would require 3-dimensional simulation. All simulations were conducted using the commercial platform ABAQUS (ABAQUS, 2012). Figure 8 schematically illustrates a Finite Element (FE) mesh for one such simulation (shown for the W24 specimen); the FE mesh for the W24 specimen is qualitatively similar. The mesh for W14A is somewhat different to accommodate the embedded crack with two crack tips (refer Shaw, 2013). Referring to the figure, the following points describe key features of the simulations –

All the simulations modeled only the flange region of the splices, subjected to pure tension. This is based on the assumption that this loading state controls the fracture toughness demands at the UWR. As shown in Figure 8, the models were 2-D plane-strain models, since stress variations through the width of the flange are relatively modest and the plane strain approximation represents out of plane constraint in a conservative manner. This modeling approach has been previously adopted with good agreement with test data by Nuttayasakul (2000).

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Figure 8 – Finite element simulation of splice flanges (W24 simulation shown as representative)

Each simulation featured approximately 20,000 plane strain 8-node quadrilateral elements (114,000 were used 2. 733 for the W14A simulation, owing to the two crack tips). As indicated in the inset in Figure 8, the crack tip was 734 greatly refined at the tip of the flaw, such that the smallest element size was on the order of 0.0005 inches. The 735 crack tip was modeled with a diameter of 0.001 inches (significantly lower than the anticipated Critical Crack 736 Tip Opening Displacement $CTOD_c$ for structural steels, which is typically on the order of 0.01 inches). This 737 type of FE mesh at the crack tip has been shown to adequately capture the stress gradients as well as the effects 738 of crack tip blunting through the work of McMeeking and Parks (1978), and then subsequently Kanvinde and 739 Deierlein (2006). 740

Material constitutive properties were based on von-Mises plasticity with isotropic hardening. For the base
 material, the properties were calibrated from the coupon tests described previously and summarized in Table 3.

For the weld material, all-weld coupon data (for a similar type of weld) generated previously by Kanvinde *et al.*(2008) was used for calibration.

Loading was applied in the form of a stress traction on the smaller (top) flange as shown in Figure 8. The 745 4. contour J-integral (Rice, 1968) was evaluated at each loading step. The J-integral is a well-established index for 746 characterizing fracture toughness demands (and capacities) in steel component with small to moderate yielding. 747 The ABAQUS platform provides functionality for calculation of the J-integral. For each loading step, the J-748 integral was calculated from approximately 40 contours around the crack tip to minimize numerical 749 inconsistencies. The J-integral (J_I) , where the subscript "I" denotes Mode I, or crack opening) may be converted 750 an equivalent stress intensity factor K_I as per the following relationship, wherein E and v are the elastic 751 modulus and Poisson's ratio -752

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 $K_{I} = \sqrt{EJ_{I}/(1-\nu^{2})}$ (1)

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Figures 9a, b and c illustrate the results of the simulations for the W24, W14A, and W14C simulations respectively. To interpret these figures effectively, it is useful to consider relationships between the CVN energy (for which minimum values are required as per the Seismic Provisions) and the critical stress intensity factor K_{IC} (which is a measure of the fracture toughness capacity). One such relationship (based on statistical correlation) is provided by Barsom and Rolfe (1999). Equation (2) below illustrates this relationship –

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$$K_{IC}^{dynamic} = 0.001 \times \sqrt{5000 \times CVN \times E}$$
⁽²⁾

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In the above equation *CVN* is the Charpy energy in ft-lb, *E* is the modulus of elasticity in ksi, and $K_{IC}^{dynamic}$ is the stress intensity factor (in $ksi\sqrt{in}$) under dynamic loading rates (since it is derived from the CVN data which is obtained from high-rate dynamic tests). In contrast, loading rates in the tests described in this paper, or even in field details subjected to earthquakes, may be considered "static," since they are several orders of magnitude lower than those observed in CVN tests (Barsom and Rolfe, 1999). In general, the $K_{IC}^{dynamic}$ is a lower bound on the available fracture toughness in seismic details. In each of the figures, the stress intensity factor K_I determined from the FE simulations is plotted against the applied stress in the smaller flange. Since W14A has two crack tips, Figure 9b has
two curves. However, these are almost coincident indicating that the fracture toughness demand at both crack tips is
virtually identical.





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Figure 9 – Results of Finite Element Simulations for (a) W24 specimens (b) W14A, and (c) W14C A close inspection of Figures 9a-c offers the following insights –

1. For all the simulations, the K_l increases, as expected, monotonically with respect to the applied stress level. 781 The points labeled "Test performance" on the figures indicate the estimated longitudinal stress in the flange 782 in each of the specimens at the time of fracture (based on an inverse sectional analysis outlined earlier). 783 Referring to these labels on the figure, these stresses are in 65-75 ksi range. At these stresses, K_I is in the 784 range of $150 - 200 ksi\sqrt{in}$, implying it to be the available fracture toughness at the crack tips in the full-785 scale specimens. For comparison, if the CVN values from the PQR assembly (i.e. 52 ft-lb) or the post-test 786 weld from the W14B specimen (50 ft-lb) are converted to equivalent $K_{IC}^{dynamic}$ values as per Equation (2), 787 then $K_{ic}^{dynamic} \approx 85 \, ksi \sqrt{in}$. The difference (i.e. the significantly higher implied toughness in the splice 788 simulations as compared to $K_{IC}^{dynamic} \approx 85 \, ksi \sqrt{in}$ determined above) is not entirely surprising for the 789 following reasons (1) that both the PQR tests as well as the W14B CVN tests are dynamic, such that 790 85 $ksi\sqrt{in}$ is a lower bound on the available toughness and (2) the PQR tests were conducted at a lower 791 temperature (i.e. 0°F), which is lower than the temperature at which the full-scale tests ($\approx 60^{\circ}$ F) were 792 conducted. In fact, the value $K_I \approx 150 - 200 \ ksi \sqrt{in}$ is in the range of fracture toughness values for 793 similar weld materials tested using static (rather than dynamic) fracture mechanics tests (Kanvinde et al., 794 2008). 795

2. In each of the Figures 9a-c, the marker "Peak Demands" indicates the maximum anticipated stress in the 796 splice flange based on the NTH simulations described earlier – this is equal to the expected yield stress, i.e. 797 approximately 55 ksi. Based on the intersection of this marker with the curves in Figures 9a-c, the 798 toughness demand at this value of flange stress is 45 ksi \sqrt{in} , 26 ksi \sqrt{in} , and 27 ksi \sqrt{in} , for W24, W14A, 799 and W14C respectively. Also shown in the figures is the horizontal line labeled "Minimum expected 800 toughness." This value $K_{IC} = 54 \ ksi \sqrt{in}$ is obtained by substituting CVN = 20 ft-lb into Equation (2). The 801 value of 20 ft-lb may be considered a suitable lower bound for material toughness based because (1) for the 802 weld filler metal, a value of 20 ft-lb at 0°F is required, and (2) for heavy sections, i.e. base metal, a CVN 803 value 20 ft-lb at 70°F in the core of the section is required; toughness elsewhere will likely be higher. As a 804 result, $K_{IC} = 54 ksi\sqrt{in}$ is a reasonable lower bound on the expected toughness in a demand critical weld, 805 such as the PJP welds in splices. Relative to this value, the demands are lower (see Figure 9), suggesting 806 that the splice details tested in this study are suitable for field use even if a low estimate of material 807 toughness is considered. A possible exception to this is in the case of buildings where the column splices 808 may be exposed to low temperatures (i.e. $\leq 50^{\circ}$ F), since the base metal toughness requirement (20 ft-lb) is 809 applicable at 70°F. The Seismic Provisions (AISC 341-10) require that "the minimum qualification 810 temperature for AWS D1.8/D1.8M Annex A be adjusted such that the test temperature for the Charpy V-811 notch toughness qualification tests be no more than 20 $^{\circ}F(11 \circ C)$ above the lowest anticipated service 812 temperature (LAST)." 813

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In summary, the FE simulations provide quantitative insight into the relationships between fracture toughness demands and applied stresses. For the connection details tested in this study, it is apparent that the toughness demands are lower as compared to the minimum available toughness capacity. This provides a suitable basis for generalizing the test results to connections that are geometrically similar to (and smaller than) the ones tested in this study. The next section summarizes the study along with its findings, implications, and limitations.

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SUMMARY AND CONCLUSIONS

825 Current design standards (AISC 341-10, 2010) require the use of Complete Joint Penetration (CJP) groove welds for column splices in Intermediate and Special Moment Frames in seismic design. These requirements are a result of 826 research following the Northridge Earthquake on Welded Beam Column connections (SAC, 1996) that 827 demonstrated the detrimental effect of embedded flaws (such as those produced at Partial Joint Penetration welds) 828 on the response of welded joints. However, more recent research (Myers et al., 2009; Gomez et al., 2010; and 829 Dubina and Stratan, 2002) indicate that when high-toughness materials are used (as also mandated by post-830 Northridge design standards), then excellent performance may be obtained even if a flaw is present. Motivated by 831 832 this research, the main objective of the current study is to examine the feasibility of PJP-welded column splices for 833 steel moment frame construction in seismic regions.

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The main scientific component of this study is a series of five full scale column splice tests. The full-scale tests are supported by a comprehensive program of Nonlinear Time History (NTH) simulation as well as ancillary material tests. The objective of the NTH simulations is to quantitatively establish force and moment demands in the splices, ultimately leading to the development of a loading protocol for the full-scale experiments. The ancillary tests enable the interpretation of full-scale test data with respect to measured, rather than specified material properties. The program of testing is also complemented by Finite Element simulations that employ fracture mechanics to develop support for the generalization of test results.

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The full-scale test matrix includes a range of column sizes and details. The key variables interrogated in the test matrix include (1) column size; such that the tested specimens included sections from W14×132 to W14×730 with ≈ 5 inch thick flanges, as well as two specimens featuring W24 sections. The sizes represent commonly used sections for 4-20 story buildings (2) weld details; including single-bevel (W14C, W24A & B), and double-bevel specimens with (W14A) and without (W14B) a weld-access hole and (3) the absence of a welded web on one of the specimens (W14C) to examine the feasibility of bolted web connections for low-rise construction where the demands are modest.

The specimens were all subjected to reversed cyclic loading as per a loading protocol based on the NTH simulations. 851 852 The load was applied in a three-point bend configuration such that the splice was subjected to a combination of flexure and shear. All the specimens exhibited excellent performance, surviving the entire loading protocol. Four out 853 of the five specimens fractured in the tension flange of the splice during the final monotonic push after completion 854 of the protocol. One specimen (W14A) did not fracture before machine capacity was reached, requiring the 855 termination of the test. All specimens showed a high degree of inelastic deformation prior to fracture with yielding 856 in both the larger and smaller column. Given that inelastic action is not expected in column splices (based on design 857 intent as well as NTH simulation), this performance is especially impressive. The peak moment sustained by the 858 splices was in the range of $1.04 \times M_p^{smaller-section}$ (for the bolted web, i.e. W14C specimen) to $1.37 \times$ 859 $M_{p}^{smaller-section}$ for the W14A specimen, indicating that these splices developed the strength of the smaller 860 connected column. The shear in these splices ranged from $0.72V_v^{smaller-section} - 0.93V_v^{smaller-section}$; these 861 combinations of high moment and shear are highly unlikely in an archetype frame. 862

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A series of fracture mechanics simulations was conducted to develop support for the generalization of test results. 864 The main objective of the FE simulations was to examine the relationship between the fracture toughness demand 865 (represented by the stress intensity factor K_I , and the applied stress in the flange). The simulations indicated that for 866 the tested connections, the toughness demands are below the minimum expected toughness (considering the 867 868 requirements of AISC 341-10, 2010). This suggests that details similar to the ones tested in the study may be suitable for general use in the field. While the results of the study are encouraging from the perspective of adoption 869 of PJP splices in IMRFs and SMRFs, the study has several limitations that must be considered when interpreting the 870 871 results. These are now summarized in a point-wise manner -

1. While the experiments incorporated a range of details and member sizes, field details that are significantly dissimilar to the tested specimens may have higher toughness demands, and thus be more fracture critical. Examples of these situations include (1) details where the extent of weld penetration or effective throat thickness is smaller (i.e. the UWR is larger) than in the tests (2) details where flanges of similar thickness are connected; in these situations, the reinforcement provided by the flared shape of the weld is absent (in contrast to the unequal flange connection where significant reinforcement is present due to the shape of the weld transition – see Figure 5 introduced previously). An accurate assessment of these factors is possible only through additional testing or a comprehensive parametric study using FE simulations similar to the ones
described in this paper.

2. A rigorous reliability analysis to determine capacity factors (\emptyset – factors) for design of these connections has not been conducted, neither has a strength characterization approach been developed. These are subjects of ongoing study. However, based on the performance of these details, and the insights provided by the FE simulations, a possible route for implementation of this research is the prescriptive use of details similar to the ones tested.

3. The toughness estimate used in the fracture mechanics analysis (i.e. 20 ft-lb) may not be conservative for 886 columns that are exposed to low temperatures ($\leq 50^{\circ}$ F), since the base metal (i.e. in the core of heavy sections) 887 toughness is required at 70°F. AISC 341-10 (2010) requires that toughness qualification tests be no more than 888 20°F above the lowest anticipated service temperature. It is also pertinent to mention here that the 20 ft-lb at 889 890 70°F toughness (in the core) is not required when the column flanges are thinner than 1.5", since adequate toughness is expected from these. Consequently, while it is highly likely that the results of this study are 891 applicable to these situations (Test W14C supports this), it is noted that the 20 ft-lb toughness is not explicitly 892 required by the AISC 341-10 for these situations. 893

Residual stresses in welds, as well as in-situ weld toughness are sensitive to parameters of the welding
 procedures as well as physical constraints at the time of welding (Masubuchi, 1980). This should be considered
 as a factor in the generalization/implementation of results.

The demand analysis (i.e. the NTH simulations) are based on a small set of archetype buildings subjected to 897 5. limited number of ground motions. While the results of this analysis are applied in a conservative manner, 898 aspects of structural response not considered by the NTH simulation (e.g. buildings taller than 20 stories, near 899 fault ground motions, vertical accelerations) may influence demands in the splices. Similarly, the effect of the 900 use of high strength steel for columns on splice demands is also undetermined. As discussed previously, 901 columns with Grade 50 material (i.e. $F_y = 50ksi$) were used along with E70 welds. However, given that the 902 columns in the NTH simulations showed very limited (or no) yielding, it may be argued that the strength of the 903 columns may not affect demands in the splices. 904

905 6. Since the NTH simulations are based on planar frames, three-dimensional effects (due to bidirectional shaking)
 906 are not explicitly incorporated in this study. However, the effects of this are anticipated to be modest. Moreover,

it is important to recall that the NTH simulations only featured Special Moment Resisting Frames and not 907 908 Intermediate Moment Frames (IMFs), which are not subject to the SCWB requirement. Thus, it may be argued that the results are not applicable to IMFs wherein the splice force/moment demands may be larger. However, 909 two points may be made in response. First, even in the SMRFs (considered in this study) which have the SCWB 910 911 requirement, the column end interaction ratio approached yield for the 20-story frame. Second, IMFs are limited to a 35' height restriction in Seismic Design Category D. The NTH results for the 4-story SMRF (which is 912 similar in height to this limit) suggest that the response in these cases is dominated by first mode response with 913 low demands at the splice. It is not unrealistic to extrapolate this response to IMFs. 914

915 7. While the effects of the above factors have not been determined, in some ways, the results of the study may also 916 be conservative with respect to field conditions. For example, the test specimens required flipping for the 917 application of reversed cyclic loading. Each of these "flips" required approximately 1-2 hours to execute, 918 introducing the possibility of strain aging (Pense, 2004), and associated detrimental effects on splice 919 performance. These effects are not present in field splices, which are subject to a higher rate of loading.

Thus, while the results of this study are promising from a standpoint of utilizing PJP-welded splices in seismic moment frames, some of the issues above must be addressed before their adoption. While it may not be feasible to conduct additional full-scale testing, focused parametric simulation through FE simulation may greatly aid the generalization and implementation of these results.

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937			NOTATION			
938	$CTOD_{C}$:	Critical Crack Tip Opening Displacement.			
939	Ε,ν	:	Modulus of elasticity of steel (29,000 ksi), Poisson's ratio (0.3).			
940	F_y	:	Specified yield stress.			
941	F_{y}^{flange}	:	Measured yield stress of smaller column flange.			
942	IR	:	Interaction Ratio of column section, defined as			
943			$IR = \frac{P}{P_y} + \frac{8}{9} \frac{M}{M_p} \text{ for } \frac{P}{P_y} > 0.2; \ IR = \frac{P}{2P_y} + \frac{M}{M_p} \text{ for } \frac{P}{P_y} < 0.2$			
944			Where P_y , M_p is the axial force capacity and plastic moment capacity of the			
945			smaller column. P, M are force and moment at the splice.			
946	IR median , IR max	:	The median and maximum (over 20 ground motions) values of the peak			
947			Interaction Ratio (peak within each time history).			
948	Jı	:	Mode-I J-integral			
949	<i>K</i> ₁ , <i>K</i> _{1C}	:	Stress intensity factor demand, capacity.			
950	<i>M_{splice}</i>	:	Applied moment at the splice.			
951	$M_p^{smaller-section}$:	Plastic moment capacity of the smaller cross section such that			
952			$M_p^{smaller-section} = R_y F_y Z_x$, wherein R_y is the ratio of the estimated to specified			
953			yield strength, and Z_x is the plastic section modulus of the smaller section.			
954	M_{splice}^{max}	:	Maximum moment observed in the splice during experiment.			
955	V ^{max} _{splice}	:	Maximum shear observed in the splice during experiment.			
956	$V_y^{smaller-section}$:	Shear strength of the smaller cross section such that			
957			$V_{y}^{smaller-section} = 0.6 \times F_{y} \times A_{web}^{smaller-section}$			
958	Δ_{peak}^{median} , Δ_{peak}^{max}	:	The median and maximum (over 20 ground motions) values of the peak			
959			Interstory drift (peak within each time history).			
960	σ_{flange}	:	Estimated stress in the tension flange based on section analysis.			

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